

# Holography for $\mathcal{N} = 1$ SQFT and the uplift of GPPZ solution

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# Introduction

- The best understood holographic duality is that between  $\mathcal{N} = 4$  SYM and  $AdS_5 \times S^5$ .
- Since the early days of AdS/CFT, a significant effort was devoted to obtain holographic dualities involving **non-conformal theories with reduced supersymmetry**.
- Here we will address this problem systematically for  $\mathcal{N} = 1$  **deformations of  $\mathcal{N} = 4$  SYM** ...
- ... and then analyze the case of  **$\mathcal{N} = 1^*$  SYM**.

## References

The first part of the talk is based on

- Stanislav Schmidt, KS,  
 $\mathcal{N} = 1$  deformations of  $\mathcal{N} = 4$  SYM and SUGRA potentials,  
18xx.xxxxx

The last part is based on

- M. Petrini, H. Samtleben, S. Schmidt and KS,  
The 10d Uplift of the GPPZ Solution,  
JHEP07(2018)026, arXiv:1805.01919 [hep-th] and on-going.  
Related work appeared in
- N. Bobev, F. F. Gautason, B. E. Niehoff and J. van Muiden,  
Uplifting GPPZ: A Ten-dimensional Dual of  $\mathcal{N} = 1^*$ ,  
arXiv:1805.03623 [hep-th].

# References

- Earlier relevant work:
  - Andrianopoli, Ferrara, Zaffaroni, Lledo, ... (1998-1999)
  - Girardello, Petrini, Porrati, Zaffaroni (1998-1999)
  - Pilch, Warner, ... (1998-2000)
  - Townsend, KS (1999)-(2007), Freedman, Nuñez, Schnabl, KS (2003)
  - ....
- Consistent truncation of IIB on  $S^5$ 
  - ... [Lee, Strickland-Constable, Waldram (2014)] ... [Baguet, Hohm, Samtleben (2015)]

# Outline

- 1 Introduction
- 2 From QFT to SUGRA
- 3  $\mathcal{N} = 1$  deformations of  $\mathcal{N} = 4$  SYM
- 4 GPPZ solution and its uplift
- 5 Conclusions

# Deforming holographic dualities

- Recall that **1/2 BPS operators**  $\mathcal{O}_\Delta$  of holographic SCFTs correspond to bulk **supergravity fields**  $\phi$
- We can use these operators to deform the SCFT to a SQFT:

$$L_{CFT} \rightarrow L_{QFT} = L_{CFT} + \phi_0 \mathcal{O}_\Delta$$

- The bulk description is in terms of a **domain-wall spacetime**,

$$\begin{aligned} ds^2 &= dr^2 + e^{2A(r)} dx^i dx^i \\ \phi &= \phi(r) \end{aligned}$$

where  $A(r) \rightarrow r, \phi(r) \rightarrow e^{(d-\Delta)r} \phi_0$  as  $r \rightarrow \infty$ .

- The same type of spacetime but with  $A(r) \rightarrow r, \phi(r) \rightarrow e^{-\Delta r} \phi_\Delta$  as  $r \rightarrow \infty$  describes the dual to a **QFT with a condensate**, where now  $\langle \mathcal{O}_\Delta \rangle \sim \phi_\Delta$ .

# Fake supergravity

- Assuming  $\phi(r)$  is invertible, there is a **first order formulation**:

$$\dot{A}(r) = -\frac{1}{d-1}W(\phi), \quad \dot{\phi} = \partial_{\phi}W(\phi)$$

where  $W(\phi) = -(d-1)\dot{A}(r(\phi))$  is the **fake superpotential**.

- The **potential**  $V(\phi)$  is then given in terms of  $W(\phi)$  by

$$V(\phi) = -\frac{1}{2} \left( \frac{d}{d-1}W^2 - (\partial_{\phi}W)^2 \right)$$

- Theories with such potential enjoy a **positive energy theorem**.  
[Boucher (1984)] [Townsend (1984)]
- This then implies that the domain-wall solution is **perturbatively (and often non-perturbatively) stable**. [KS, Townsend (1999)(2006)], [Freedman, Nuñez, Schnabl, KS (2003)] .....

# Obtaining the potential from QFT

- In holographic RG flows, the **beta function** given by

$$\beta(r) = \frac{1}{d-1} \frac{\partial \ln W(\phi)}{\partial \phi}$$

where  $r$  is associated with the energy scale of the QFT.

- Suppose now we know  $\beta(r)$  exactly.
- Then the field equation and the holographic beta function can be integrated to give:

$$W(r) = -\frac{1}{(d-1)^2 \int^r dr \beta(r)^2}, \quad \phi(r) = \pm(d-1) \int^r dr \beta(r) W(r)$$



# Obtaining the potential

- Inverting  $r = r(\phi)$  we obtain  $W(\phi) = W(r(\phi))$  and from here we find the potential  $V(\phi)$ ,

$$V(\phi) = -\frac{1}{2} \left( \frac{d}{d-1} W^2 - (\partial_\phi W)^2 \right)$$

- **Summary:**

Given the beta function we can obtain the potential.

So ... when do we know the beta function exactly?

# $N = 1$ supersymmetric non-renormalization theorems

- In supersymmetric theories, **the superpotential does not renormalize**.
- Suppose we modify the superpotential

$$W \rightarrow W + \phi \hat{O}$$

where  $\hat{O}$  is a **chiral superfield**. Then the beta function for the coupling  $\phi$  is

$$\beta_\phi = \frac{d\phi(\mu)}{d \ln \mu} = (-d + (\Delta + \gamma_O))\phi(\mu)$$

where  $\Delta$  is the classical dimension of the F-term of  $\hat{O}$  and  $\gamma_O$  is its anomalous dimension.

# Beta function

- If  $\hat{O}$  has **protected dimension**, then we can readily integrate the beta function,

$$\frac{d\phi(\mu)}{d \ln \mu} = (-d + \Delta)\phi(\mu) \quad \Rightarrow \quad \phi(\mu) = \phi_0 \exp(-(d - \Delta) \ln \mu)$$

- In holography,  $\ln \mu \leftrightarrow r$ , and the beta function is

$$\beta(r) = -(d - \Delta)\phi_0 \exp(-(d - \Delta)r)$$

# Bulk potential

- Inserting in our previous formulae we obtain the fake superpotential

$$W(\phi) = -\frac{-(d-1)}{2} \left( \cosh \left( \frac{2\phi}{\alpha} \right) + 1 \right), \quad \alpha^2 = \frac{2(d-1)}{d-\Delta}$$

- ... and the potential,

$$V(\phi) = \frac{d-1}{4\kappa^2} \cosh^2 \left( \frac{\phi}{\alpha} \right) \left[ (d-2\Delta) \cosh \left( \frac{2\phi}{\alpha} \right) - (3d-2\Delta) \right]$$

# Explicit realization

- Consider  $\mathcal{N} = 4$   $SU(N)$  SYM. Its 1/2 BPS operators have no anomalous dimension.
- $\mathcal{N} = 4$  SYM may be expressed in an  $\mathcal{N} = 1$  language and some of the 1/2 BPS operators are **F-terms from the perspective of the  $\mathcal{N} = 1$  theory.**
- Deform  $\mathcal{N} = 4$  SYM using any of these operators.

## $\mathcal{N} = 4$ in $\mathcal{N} = 1$ language

- The field content of  $\mathcal{N} = 4$  SYM in terms of  $\mathcal{N} = 1$  multiplets consist of **three chiral superfields  $Z_i$  and one vector multiplet  $V$** .
- The  $SU(4)_R$  R-symmetry is correspondingly broken to  $SU(3) \times U(1)_R$ .
- The action is given by

$$S_{\mathcal{N}=4} = \int d^2\theta d^2\bar{\theta} K(e^V Z, \bar{Z}) + \left( \int d^2\theta (f(Z)W^\alpha W_\alpha + \mathcal{W}(Z)) + c.c. \right)$$

with

$$K(Z, \bar{Z}) \sim \text{tr } \bar{Z}Z, \quad f(Z) \sim \tau, \quad \mathcal{W}(Z) \sim \epsilon^{ijk} Z_i [Z_j, Z_k]$$

# Holographic $\mathcal{N} = 1$ deformations of $\mathcal{N} = 4$ SYM

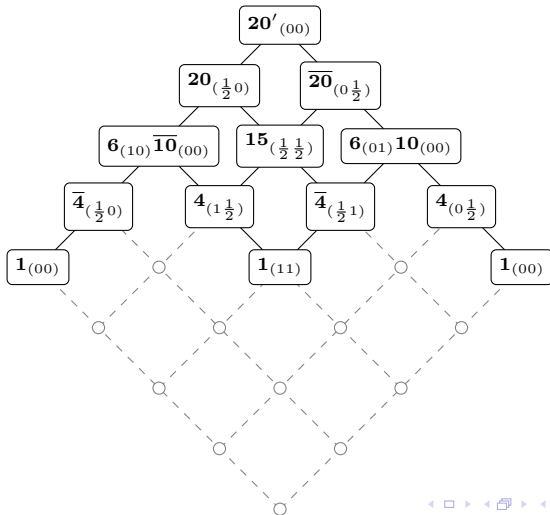
- To be able to study  $\mathcal{N} = 1$  deformations holographically the deformations must involve 1/2 BPS operators of  $\mathcal{N} = 4$  SYM. We need to identify the (parts of the 1/2 BPS) operators that deform:
  - the superpotential  $\mathcal{W}(Z)$
  - the gauge kinetic term  $f(Z)$
  - D-term deformations
- In  $\mathcal{N} = 1$  SQFT, the bottom components of chiral superfields may condense.
  - To study those holographically we also need to identify where these components sit in the 1/2 BPS operator of  $\mathcal{N} = 4$  SYM.
- We have classified all cases.

# 1/2 BPS operators of $\mathcal{N} = 4$ SYM

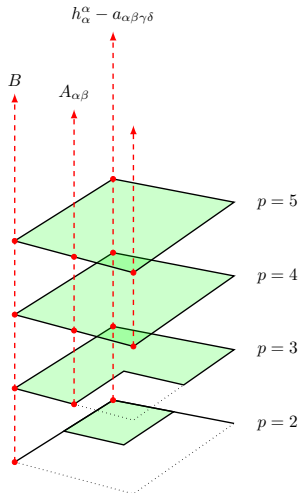
- Superconformal primaries are given by  $\text{Tr } \phi^{i_1 \dots i_p}$ .
- The rest of the 1/2 BPS operators are obtained as **super-conformal descendants**.
- $p = 2$  is the energy-momentum multiplet and it corresponds to the gauged supergravity multiplet.
- $p > 2$  correspond to **KK modes on  $S^5$** .



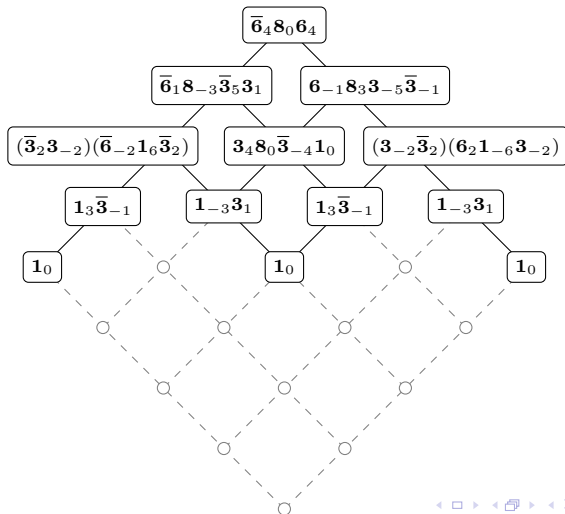
# The $p = 2$ multiplet: $\text{Tr } \phi^{i_1} \phi^{i_2}$



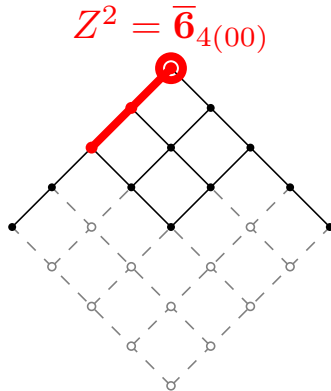
# Correspondence with bulk fields



# The $p = 2$ multiplet: $SU(4)_R \rightarrow SU(3) \times U(1)_R$



# The $p = 2$ multiplet: $\mathcal{N} = 1$ decomposition



# GPPZ flow

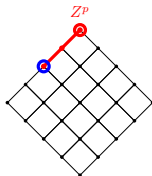
- A particular case of this deformation yields  $\mathcal{N} = 1^*$  SYM.
- In this case  $\Delta = 3$  and the evaluating the formulae for the (super)potential we had earlier we find:

$$W = -\frac{3}{4} \left[ \cosh \left( \frac{2m}{\sqrt{3}} \right) + 1 \right]$$

$$V = -\frac{3}{8} \left[ \cosh^2 \left( \frac{2m}{\sqrt{3}} \right) + 4 \cosh \left( \frac{2m}{\sqrt{3}} \right) + 3 \right].$$

which are precisely the superpotential and potential worked out by GPPZ.

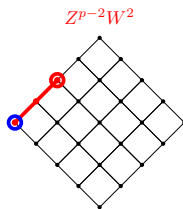
# General $p$



- These originate from **KK modes of the  $A_{\alpha\beta}$  field on  $S^5$** .
- ➡ There must exist massive single scalar consistent truncations of IIB SUGRA with the potential given earlier.

# Gauge kinetic function

- Gauge kinetic function



where  $W^2 = W^\alpha W_\alpha$ .

- If we deform  $\mathcal{N} = 4$  SYM by the F-component of this operator, then **we deform**  $f(Z) \rightarrow \tau + Z^{p-2}$ .

## D-term deformations

- They appear for  $p \geq 4$  and correspond to  $\mathcal{N} = 1$  higher derivative terms.
- They are characterized by harmonic functions:  $\partial^i \partial_i g = 0$
- For example,

$$\delta S = \int d^2\theta d^2\bar{\theta} \left( \bar{W}^2 Z_i Z_j \partial^i \partial^j g(Z_i, \bar{Z}^j) + \text{c.c.} \right)$$



# Condensates

- $\mathcal{N} = 4$  SYM does not have vacua that spontaneously break  $\mathcal{N} = 4 \rightarrow \mathcal{N} = 1$ .
- We can however first deform  $\mathcal{N} = 4$  SYM to  $\mathcal{N} = 1$  SYM, as discussed earlier. In the  $N = 1^*$  case,

$$\langle W^2 \rangle \sim m^3 e^{2\pi i \tau / N}$$

- In the large 't Hooft limit,  $e^{2\pi i \tau / N} \rightarrow 1$ . Then

$$\frac{d\langle W^2 \rangle}{d \log m} = 3\langle W^2 \rangle$$

- This is the analogue of the beta function for condensates.

# Fake superpotential

- Using our earlier analysis, now for holographic RG flows corresponding to vevs, we find that the fake superpotential is

$$W_\sigma = -\frac{3}{4} (\cosh(2\sigma) + 1)$$

- Combined with the fake superpotential  $W_m$  that corresponds to the deformation (found earlier), we finally get

$$W = -\frac{3}{4} \left[ \cosh\left(\frac{2m}{\sqrt{3}}\right) + \cosh(2\sigma) \right]$$

and from here we can get the potential.

- This agrees exactly with the GPPZ result.

## GPPZ solution [Girardello, Petrini, Porrati, Zaffaroni (1999)]

$$m(y) = \frac{\sqrt{3}}{2} \log \left[ \frac{1 + e^{-(y-C_1)}}{1 - e^{-(y-C_1)}} \right],$$

$$\sigma(y) = \frac{1}{2} \log \left[ \frac{1 + e^{-3(y-C_2)}}{1 - e^{-3(y-C_2)}} \right],$$

$$A(y) = y - \log \cosh \frac{m(y)}{\sqrt{3}} - \frac{1}{3} \log \cosh \sigma(y).$$

- $m$  and  $\sigma$  are the norms of the complex sources that couple to the deforming operator and the gaugino bilinear.
- There is a **naked curvature singularity**.
- **Solution physically acceptable** if  $C_2 \leq C_1$  (according to Gubser criterion).
- Proposed to be dual to a **confining vacuum of  $N = 1^*$** .

## Remarks

- The QFT sources are complex. We need to generalize the solution to include the phases of the sources.
- In QFT  $\langle W^2 \rangle \sim m^3$ , where  $m$  is the deformation parameter.
- It gauge/gravity duality we expect such relations to **come from regularity**, but there is no choice of the integration constants for which the solution is regular.
- There is also a computation that suggests that the spectrum contains a massless state [DeWolfe, Freedman (2000)]. Is this solution dual to a **Coulomb vacuum**?
- A proposal for the 10d dual of  $N = 1^*$  was made by [Polchinski, Strassler (2000)]. What is the relation to GPPZ?

# The uplift of the GPPZ solution

- We generalized the GPPZ solution to complex  $m$  and  $\sigma$  and uplifted it to 10d.
- The phases of  $m$  and  $\sigma$  are accounted by a combination of a rotation in  $S^5$ , which geometrizes the R-symmetry, and a rotation of  $U(1) \in SL(2)_{IIB}$  (bonus  $U(1)$  [Gunaydin, Marcus (1985)] [Intriligator (1998)]).
- The 10d metric and axion-dilaton agree exactly with those in [Pilch, Warner (2000)].
- All  $p$ -form are turned on and the entire solution is given in terms of elementary functions.

# Singularity

- The solution is **still singular** but **the singularity is milder in 10d than in 5d** [in agreement with [Pilch, Warner (2000)]].
- There is either a **ring singularity in internal space** (if  $C_2 < C_1$ ) or both a **radial singularity and an angular singularity** (if  $C_1 = C_2$ ).
- Intriguingly, there are conformal frames where the singularity is even milder: there is a singularity **only at one point on  $S^5$**  ( $C_2 < C_1$ ) or **only in the radial direction** ( $C_1 = C_2$ ).

## Near boundary expansion

- We computed the near-boundary expansion of all fields to sub-leading order, and found agreement with [Freedman-Minahan (2000)].
- Boundary conditions (non-normalizable modes) are the same as Polchinski-Strassler.
- Sub-leading terms are different from those in [Polchinski, Strassler (2000)]: the Polchinski-Strassler configuration **does not solve the IIB equations of motion**.

# Is the solution supported by branes?

- We have explicitly checked that the IIB equations of motion hold at generic points.
- Checking for delta function sources in the field equations is more subtle.
- To diagnose possible delta function sources one may integrate the field equations against test functions.

The results so far are as follows:

- There are no 5- and 7-branes localized away from the position of the 5d singularity.
- There may still be branes localized at the position of the 5d singularity.



## Conclusions/Outlook

- We classified  $\mathcal{N} = 1$  deformations of  $\mathcal{N} = 4$  SYM.
- We discussed how to obtain the **supergravity potential for single-scalar sectors directly from the QFT.**
- **It would be interesting to extend this discussion in other cases where we understand the QFT dynamics.**
- The results suggests the existence of **single-scalar truncations of massive modes.**
- **Extend to other dimensions.** (The potential for  $d = 3, \Delta = 1, 2$  appeared before in the literature.)

## Conclusions/Outlook

- We uplifted the GPPZ solution to ten dimensions.
- We should compute further observables to decide what this solution represents:
- Use the method **Kaluza-Klein holography** [KS, Taylor (2006)] to extract **the expectation values of higher dimension chiral primaries**.
- **Compare with QFT expectations.**