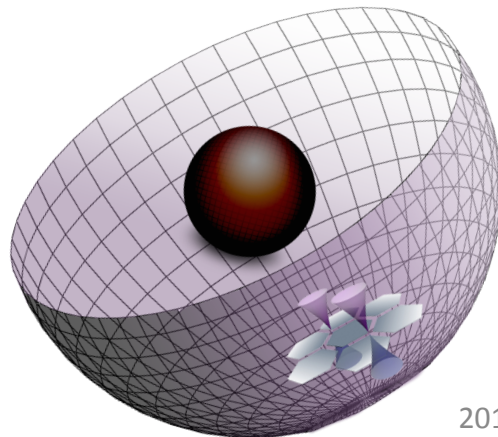


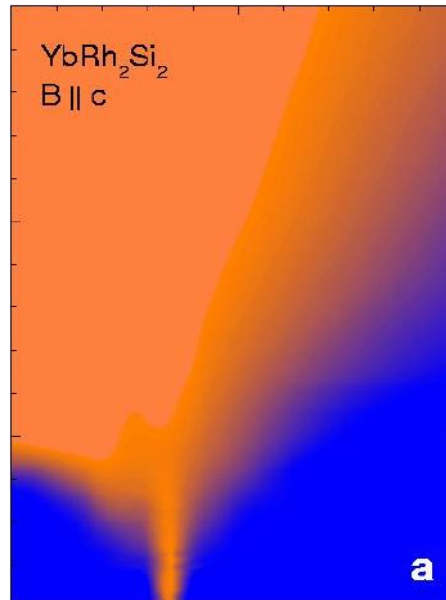
Hubbard model and Mott transition in holography

Sang-Jin Sin (Hanyang)

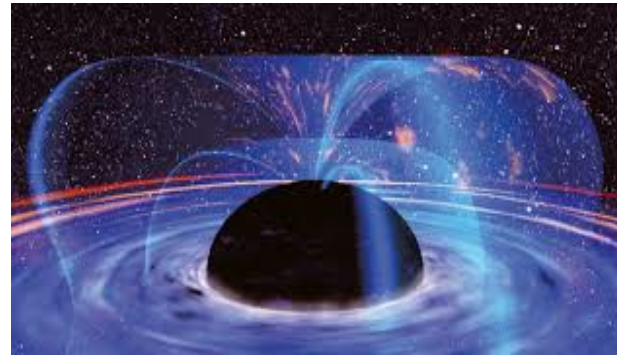
2018.08@Wurzburg



AdS/CMT: Similarity of QCDP and BH



$$\omega = k^Z, \quad [s] = D - \theta$$

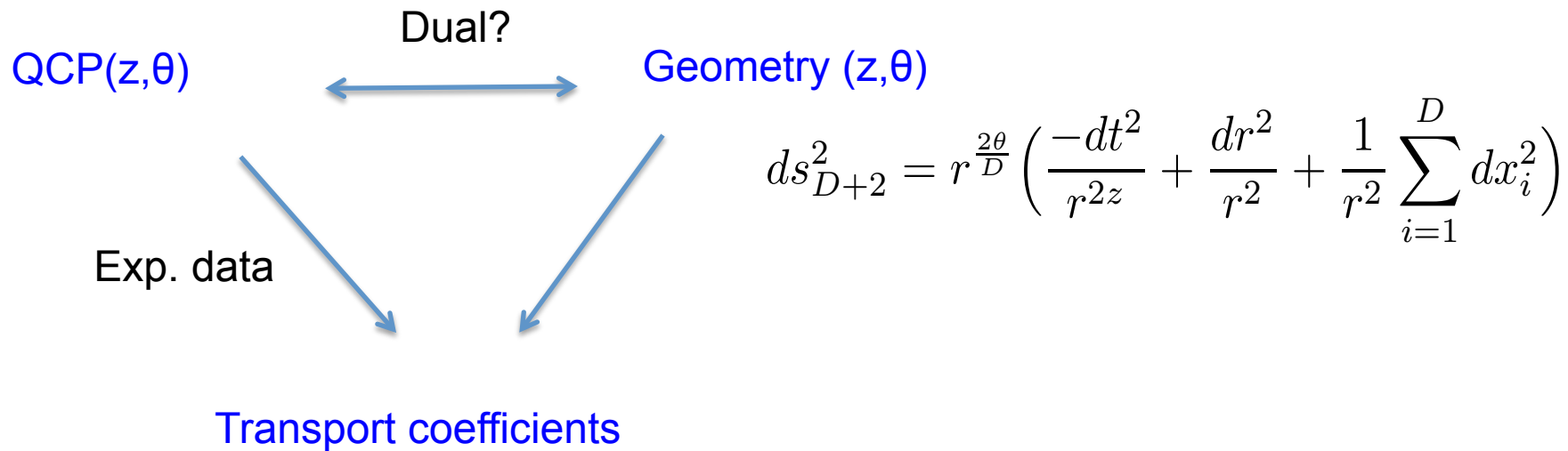


Both have

- i) Matching symmetry, parameters with Z, θ :
- ii) Information loss \rightarrow Universality
- iii) Thermodynamics
- iv) Transports

Ads/cmt=postulate the dictionary of ads/cft works here

General idea is to identify QCP and the ads BH.



Therefore,



So, we ask

i) How materials becomes Strongly interacting

ii) What materials can actually be described by holography ?

Two classes of SIS

Mechanism/Class :	Examples	:Phenomena
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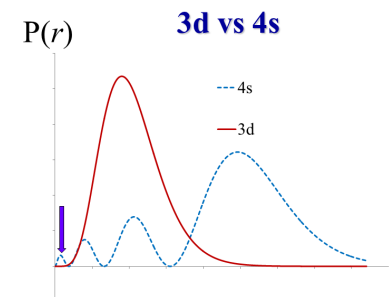
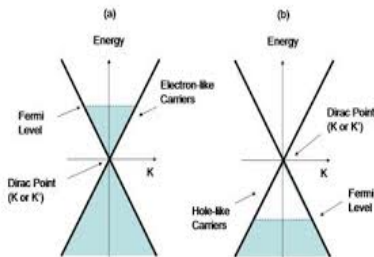
Slow Electron	:Transition-metal Oxides	:Mott transition
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Small FS	: Dirac materials	:Anomalous Transport -
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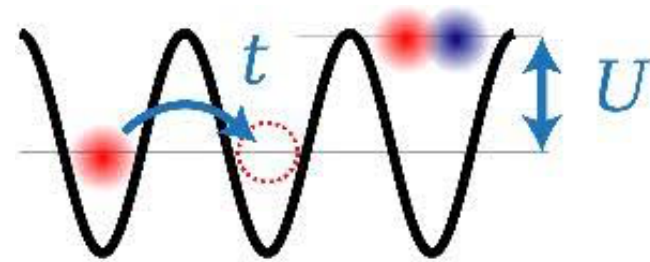
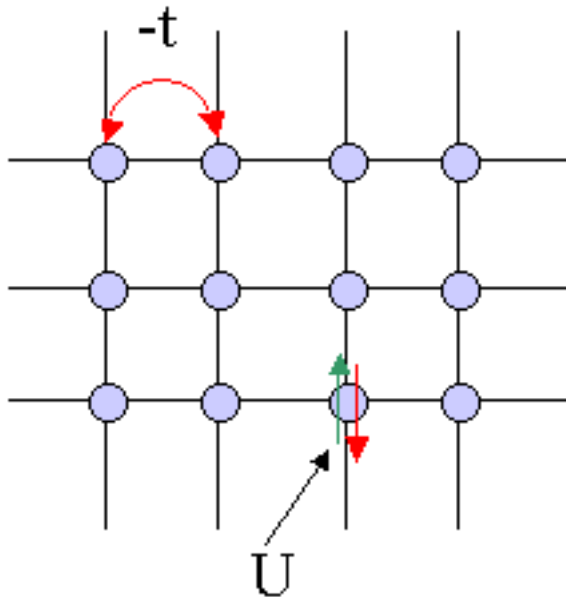
$$g^2 = \frac{e^2 \cdot c}{4\pi\epsilon\hbar c \cdot v_F} \sim 1$$

Class 2,
Small FS

Class 1,
 $3d^{1-10} 4s^{1-2}$



Hubbard model



Coupling = $U/t \rightarrow$ two ways

$$H = -t \sum_{\langle i,j \rangle, \sigma} (c_{i,\sigma}^\dagger c_{j,\sigma} + c_{j,\sigma}^\dagger c_{i,\sigma}) + U \sum_{i=1}^N n_{i\uparrow} n_{i\downarrow},$$

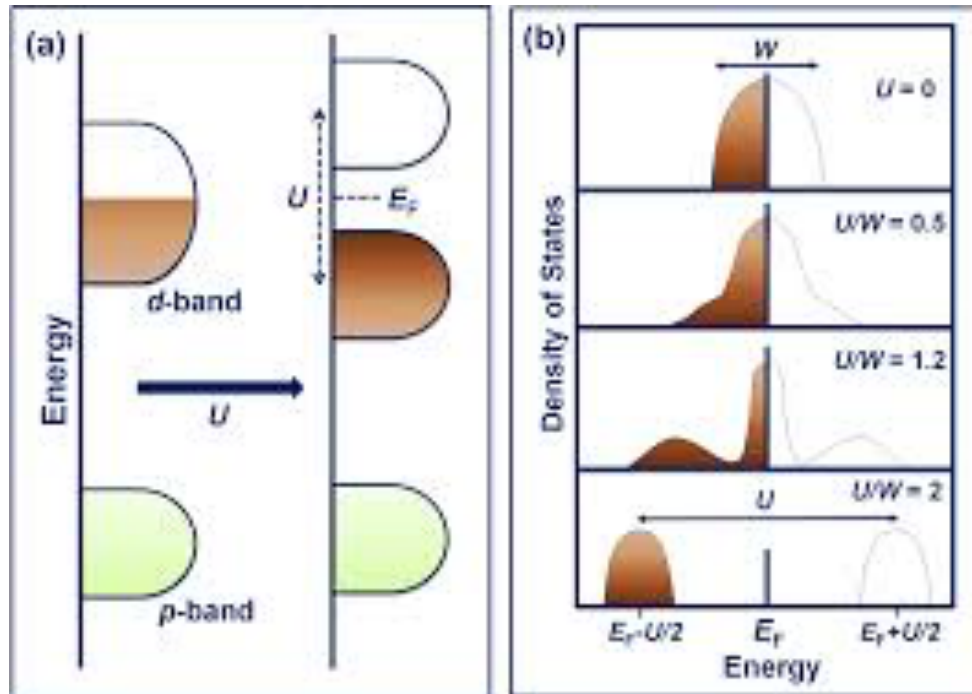
1st class : Transition metal Oxide

1. Mott transition is the first object to understand.
2. Spectral function– ARPES. ➔ Phases of the model
3. Compare with DMFT.
4. Compare with Experiment

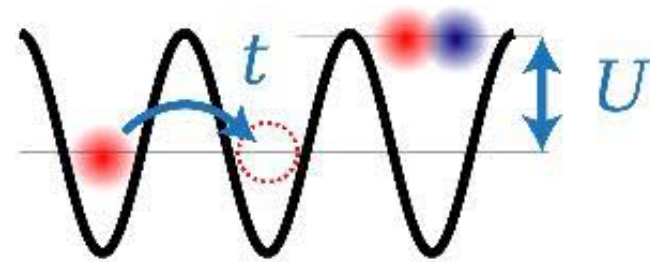
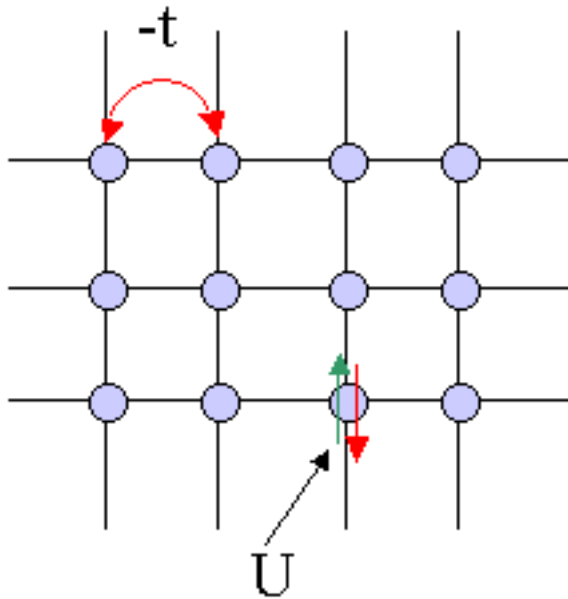
Based on

- **Mott transition with Holographic Spectral function**
Yunseok Seo, Geunho Song, Yong-Hui Qi +SJS [1803.01864](#)
- **Classification of Mott Gap and Pseudo-gap in Holography.**
- **Instability and its information in holography.**

Mott Transition



Mott transition in terms of Hubbard model



Coupling = U/t

$$H = -t \sum_{\langle i,j \rangle, \sigma} (c_{i,\sigma}^\dagger c_{j,\sigma} + c_{j,\sigma}^\dagger c_{i,\sigma}) + U \sum_{i=1}^N n_{i\uparrow} n_{i\downarrow},$$

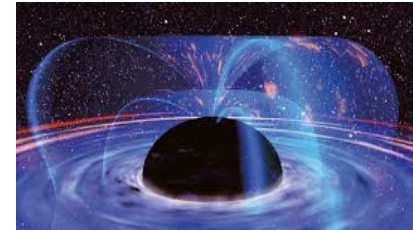
1. Hubbard model in $d > 1 \rightarrow$ Not solvable
2. finding its gravity dual is V. difficult. \rightarrow title
3. Can we replace the Hubbard Model by a holographic-model?
4. Try a Holographic Fermion Model
with free fermion like behavior and gap generation.

My original title: Toward holographic Hubbard model

Spectral function

$$S_D = \int d^4x \sqrt{-g} i \bar{\psi} (\Gamma^M \mathcal{D}_M - m - i p \Gamma^{MN} F_{MN}) \psi + S_{\text{bd}},$$

$$\mathcal{D}_M = \partial_M + \frac{1}{4} \omega_{abM} \Gamma^{ab} - i q A_M.$$



$$S_{\text{bd}} = \frac{\pm 1}{2} \int d^3x \sqrt{h} \bar{\psi} \psi = \frac{\pm 1}{2} \int d^3x \sqrt{h} (\bar{\psi}_- \psi_+ + \bar{\psi}_+ \psi_-),$$

$h = -g g^{rr}$, ψ_{\pm} are the spin-up and down

Calculating the spectral function is already standard.

SS. Lee, H. Liu, Iqbal, T. Faulkner, J. McGreevy, Vegh,
Cubrovic, K. Schalm, J. Zaanen,

Phase Diagram

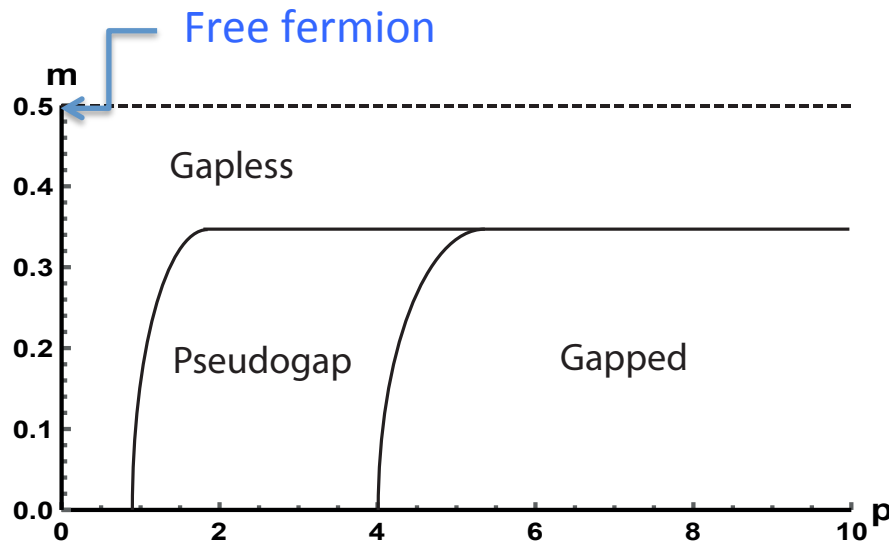
$$S_\psi = \int d^4x \sqrt{-g} i \bar{\psi} (\not{D} - m - i p \not{F}) \psi + S_{bdy}$$

$$\Delta = d/2 - m$$

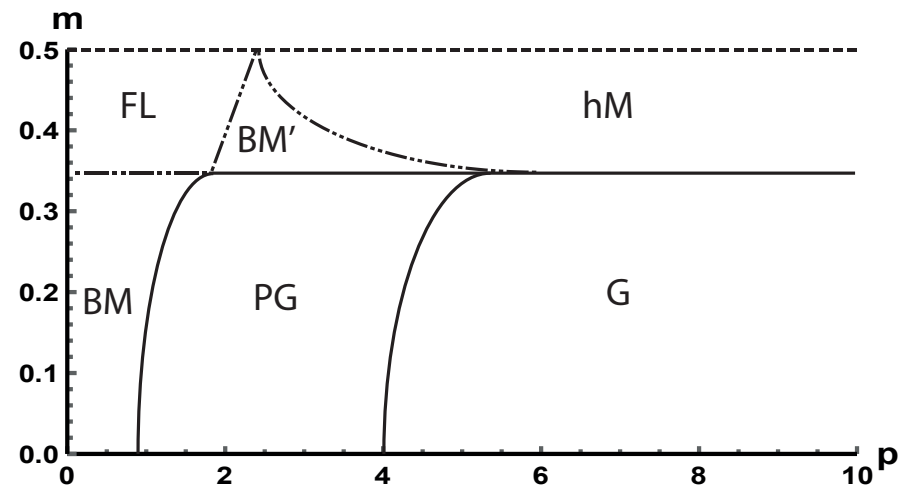
$$\Delta_{FF} = (d-1)/2$$

$m=1/2$ is Free fermionic.

Known to Gap generating
Phillips et.al



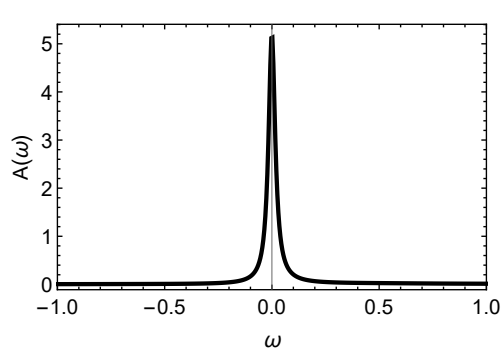
(a) Phase diagram



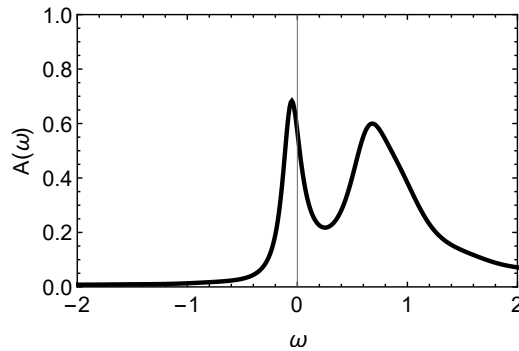
(b) Substructure in gapless phase

“Transition” is smooth everywhere.

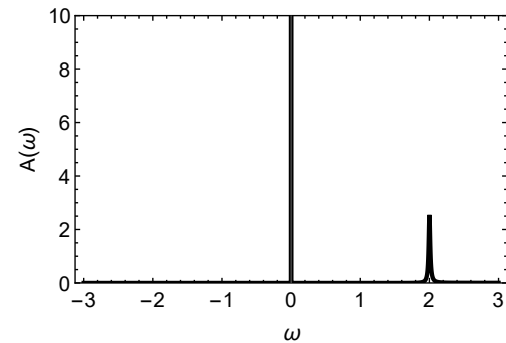
Naïve spectral function



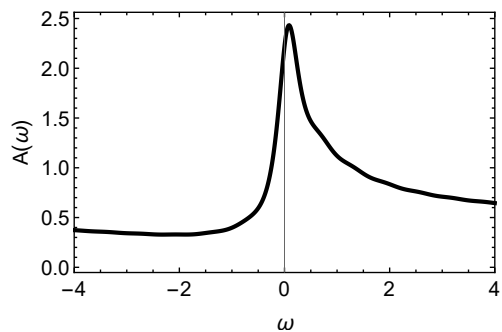
(a) Fermi liquid like (FL)



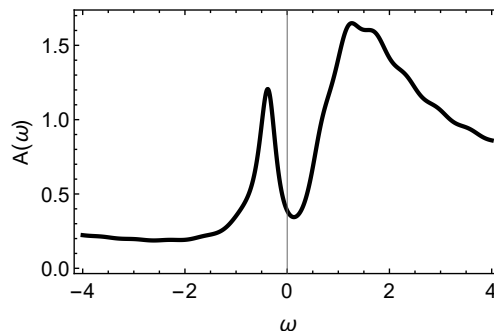
(b) bad metal prime (BM')



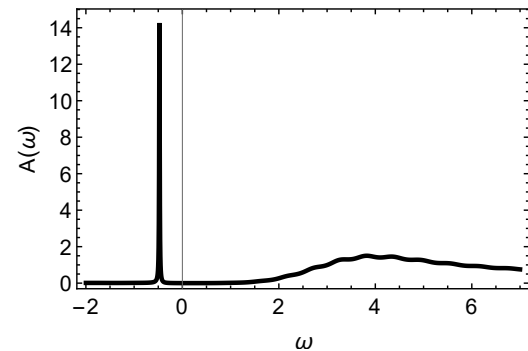
(c) half-metal (hM)



(d) bad metal (BM)

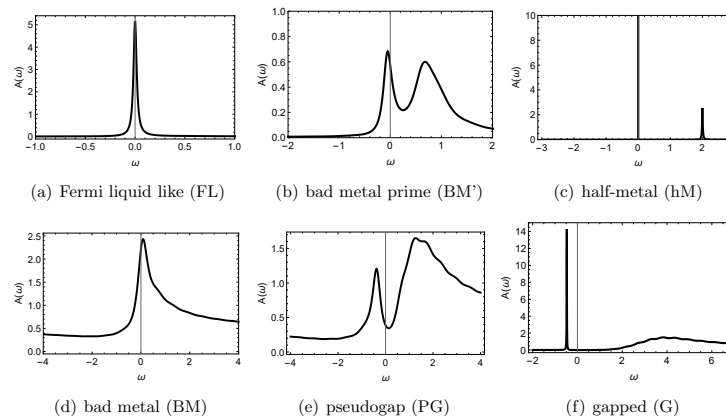


(e) pseudogap (PG)



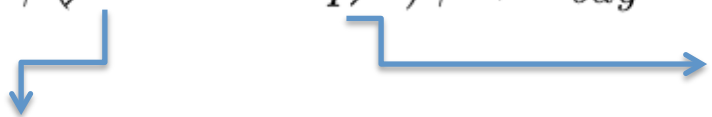
(f) gapped (G)

Diagnosis



1. Problem: Spectral function gives too much asymmetry.
This is the evidence of Pauli principle is working partially.
2. Reason: Hole degree of freedom is not encoded.
(Positive and Negative energy spectrum have the same charge)
3. Spectral function of hole = Spectral function of particle ($q \rightarrow -q$)

How to add hole spectrum?

$$S_\psi = \int d^4x \sqrt{-g} i \bar{\psi} (\not{D} - m - i p \not{F}) \psi + S_{bdy}$$


The diagram shows a blue arrow pointing from the momentum p in the Dirac operator \not{D} to the expression $p \rightarrow p \text{ Sign}[q]$ on the right. Another blue arrow points from the p in the term $-i p \not{F}$ to the same expression.

Minimal interaction contains qA_t

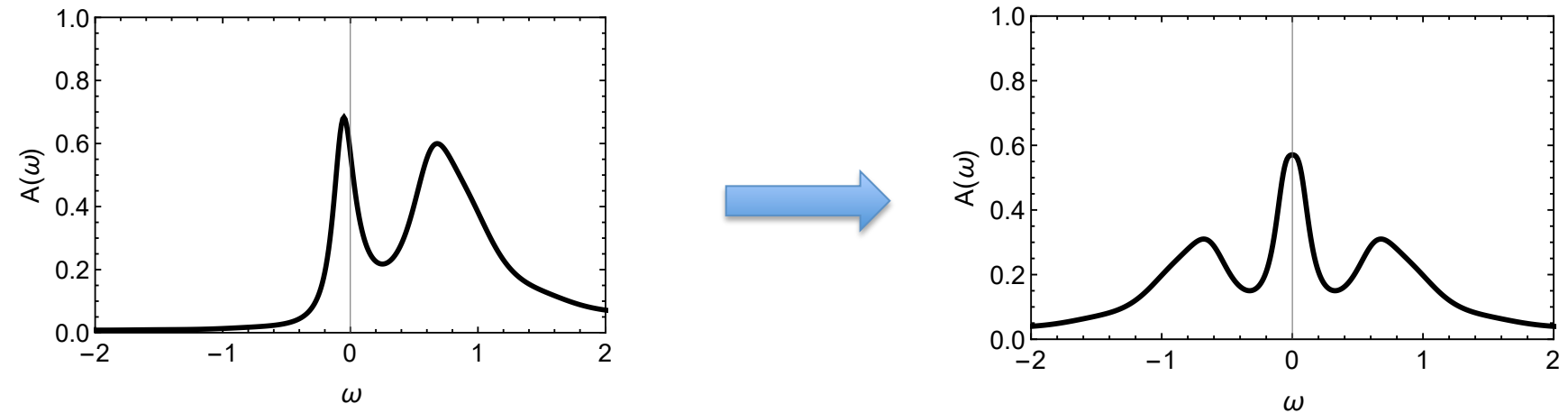
1. We change Lagrangian $\rightarrow L[q] + L[-q]$

2. Consequence:
equivalent to **Spectral function is Symmetrized.**
due to the relation

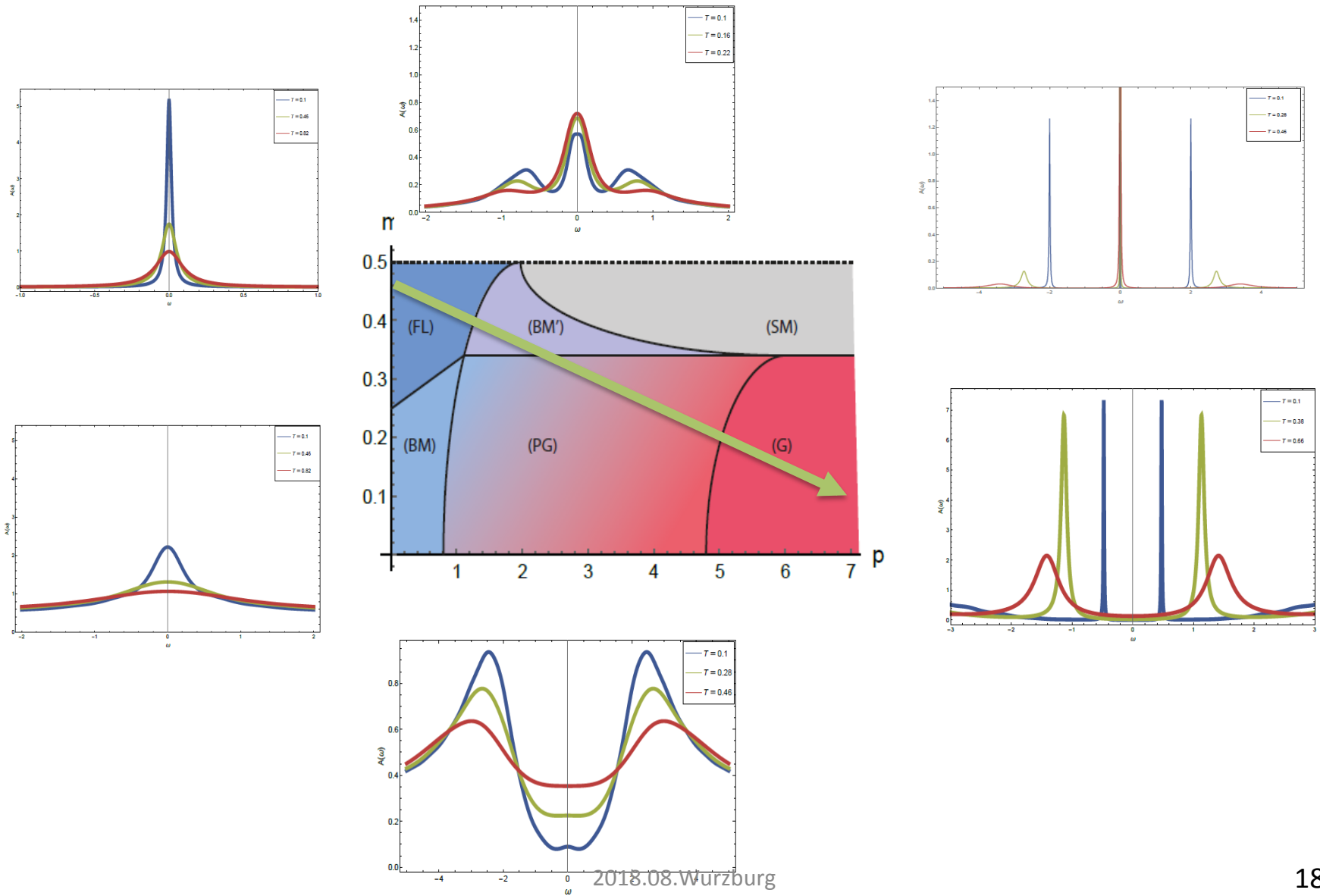
$$G[w, k, q] = -G^*[-w, -k, -q]$$

$$A[w, k, q] + A[w, k, -q] = A[w, k, q] + A[-w, -k, q]$$

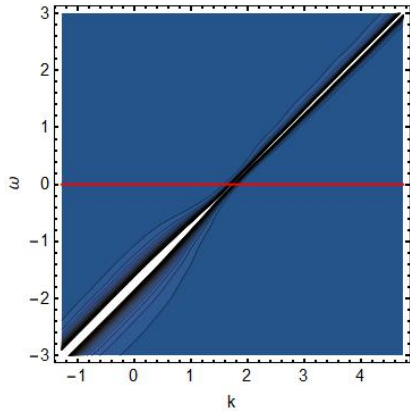
Consequence of adding hole spectrum



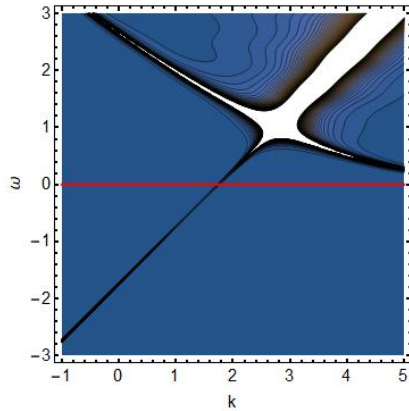
6 phases : with symmetrized spectral function



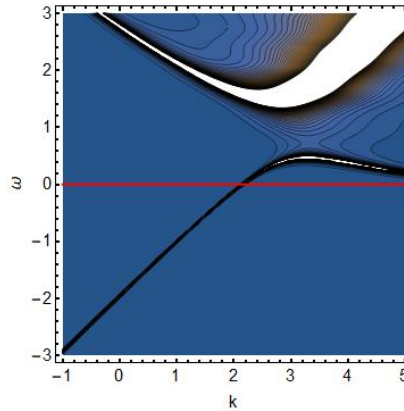
Understanding the Gap creation



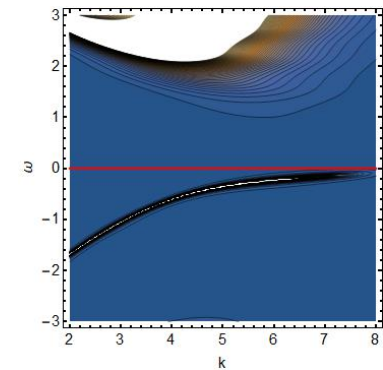
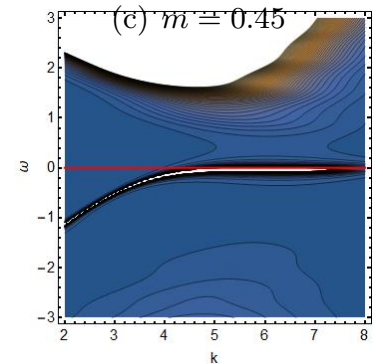
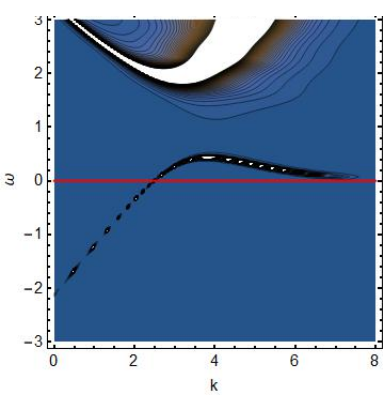
(a) $m = 0.5, p = 0$



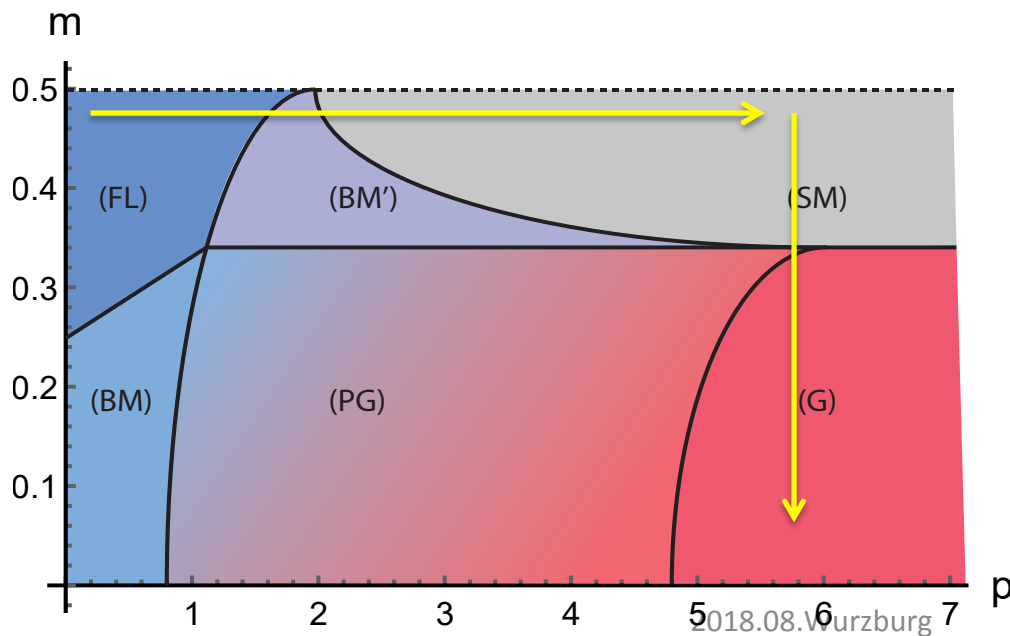
(b) $m = 0.5, p = 5$



(c) $m = 0.47, p = 5$

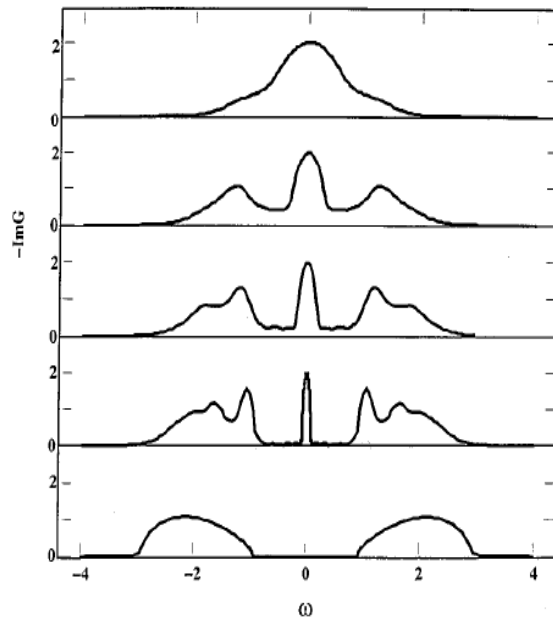


(e) $m = 0.25$



2018.08. Würzburg

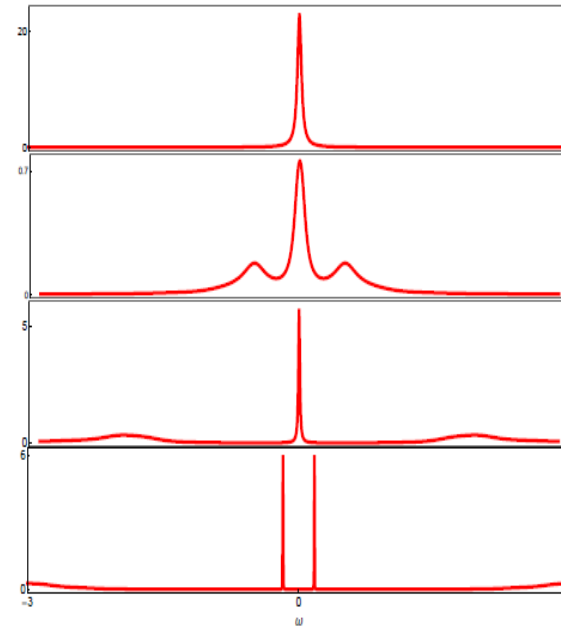
Comparison with DMFT results



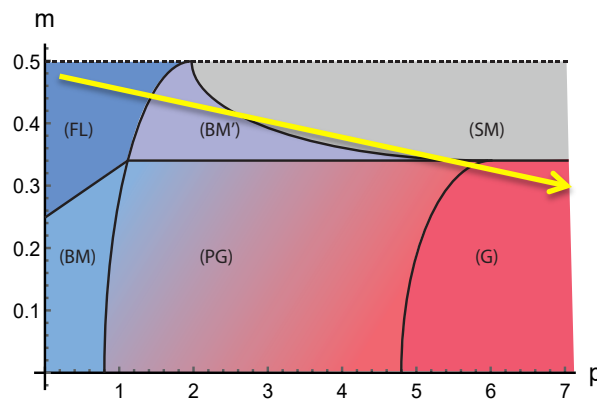
Single-site DMFT result

A. Georges, et.al Rev. Mod. Phys.
68 (Jan, 1996) 13–125.

Depending on the path,
evolution is different.

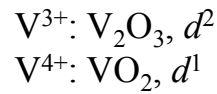
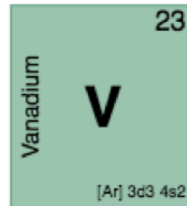


Holography with embedding



Data for Transition Metal Oxide

O^{2-} : anion



Periodic Table (omitting LA and AC Series)

nonmetal

noble gas

alkali metal

alkaline earth metal

metalloid

halogen

metal

transition metal

I	1 H Hydrogen																	2 He Helium	
II	3 Li Lithium	4 Be Beryllium																	10 Ne Neon
III	11 Na Sodium	12 Mg Magnesium																	18 Ar Argon
IV	19 K Potassium	20 Ca Calcium	21 Sc Scandium	22 Ti Titanium	23 V Vanadium	24 Cr Chromium	25 Mn Manganese	26 Fe Iron	27 Co Cobalt	28 Ni Nickel	29 Cu Copper	30 Zn Zinc	31 Ga Gallium	32 Ge Germanium	33 As Arsenic	34 Se Selenium	35 Br Bromine	36 Kr Krypton	
V	37 Rb Rubidium	38 Sr Strontium	39 Y Yttrium	40 Zr Zirconium	41 Nb Niobium	42 Mo Molybdenum	43 Tc Technetium	44 Ru Ruthenium	45 Rh Rhodium	46 Pd Palladium	47 Ag Silver	48 Cd Cadmium	49 In Indium	50 Sn Tin	51 Sb Antimony	52 Te Tellurium	53 I Iodine	54 Xe Xenon	
VI	55 Cs Cesium	56 Ba Barium	LA	72 Hf Hafnium	73 Ta Tantalum	74 W Tungsten	75 Re Rhenium	76 Os Osmium	77 Ir Iridium	78 Pt Platinum	79 Au Gold	80 Hg Mercury	81 Tl Thallium	82 Pb Lead	83 Bi Bismuth	84 Po Polonium	85 At Astatine	86 Rn Radon	
VII	87 Fr Francium	88 Ra Radium	AC	104 Rf Rutherfordium	105 Db Dubnium	106 Sg Seaborgium	107 Bh Bohrium	108 Hs Hassium	109 Mt Meitnerium	110 Ds Darmstadtium	111 Rg Roentgenium	112 Cn Copernicium	113 Nh Nihonium	114 Fl Flerovium	115 Mc Moscovium	116 Lv Livermorium	117 Ts Tennessine	118 Og Oganesson	

Cf: DMFT vs Experiment // Holography vs Exp

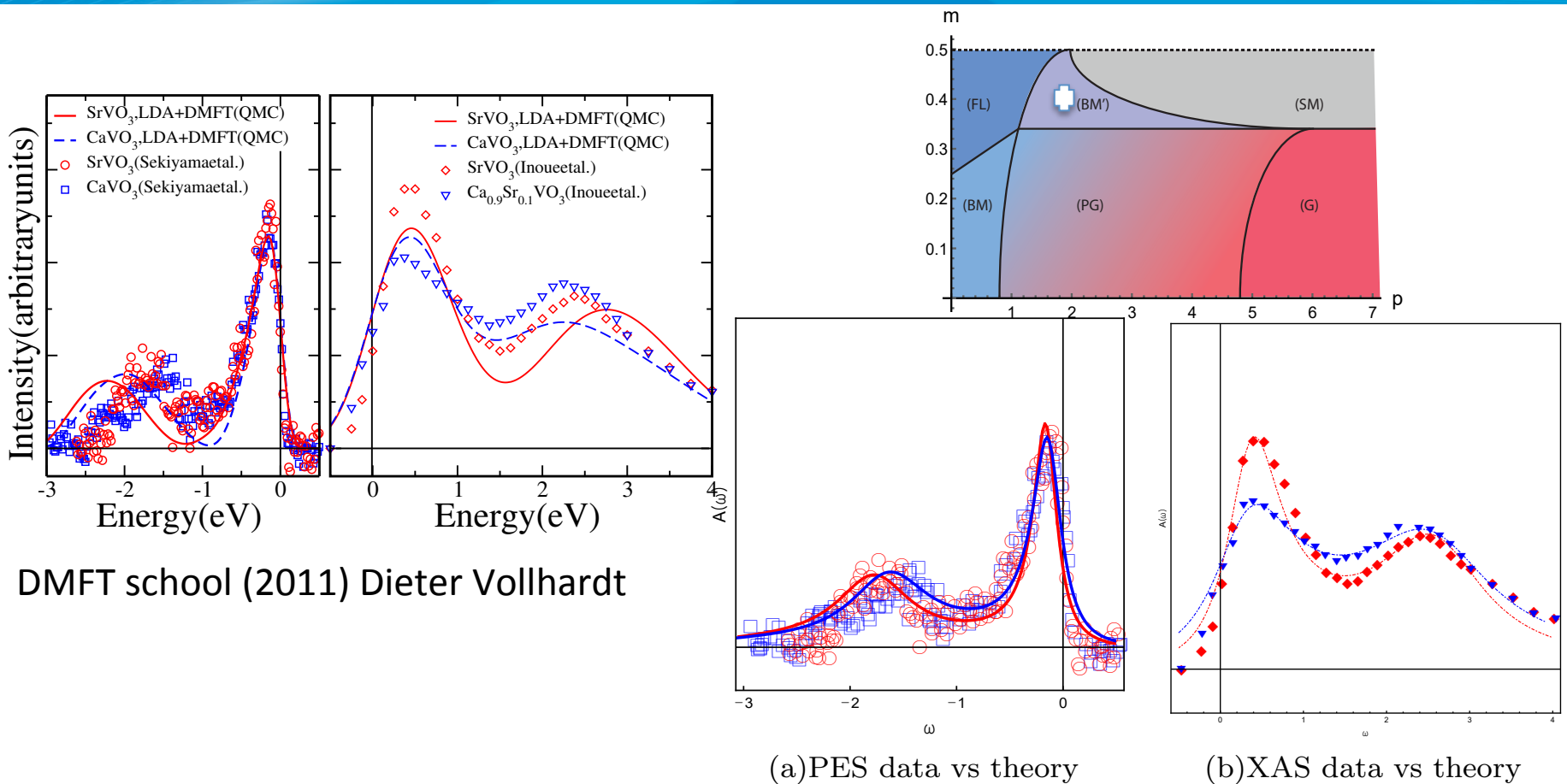


FIG. 5. Experimental data vs holographic theory: (a) PES data, (b) XAS data ; In both case (color red) is for SrVO_3 and (color blue) is for CaVO_3 . The data for SrVO_3 is from [26], and that for CaVO_3 is from [25].

Future directions

- asymmetry, magnetism, backreaction.
- instability, d-wave condensation.
- other gap generation mechanism

$$S = \int \sqrt{-g} i \bar{\psi} (\not{D} - m - i g_v B_\mu \Gamma^\mu - i g_a B_\mu^{(5)} \Gamma^{5\mu} - i g_T M_{\mu\nu} \Gamma^{\mu\nu}) \psi - \int \sqrt{-g} i \bar{\psi} (g_0 M_0 + i g_5 M_5 \Gamma^5) \psi,$$

α	0	1	2	3
----------	---	---	---	---

M_s	.	○	○	○
-------	---	---	---	---

M_s	0	○	○	○
-------	---	---	---	---

α	0	1	2	3
----------	---	---	---	---

$B_t \gamma^t$.	●	●	●
----------------	---	---	---	---

$B_x \gamma^x$	●	○	○	○
----------------	---	---	---	---

$B_y \gamma^y$	○	○	○	○
----------------	---	---	---	---

Table 1: $g_v \neq 0$, ●: Gapless, ○: Gapped

α	0	1	2	3
----------	---	---	---	---

$B_t^{(5)} \gamma^{5t}$.	●	●	●
-------------------------	---	---	---	---

$B_x^{(5)} \gamma^{5x}$	●'	○	○	○
-------------------------	----	---	---	---

$B_y^{(5)} \gamma^{5y}$	○	○	○	○
-------------------------	---	---	---	---

$$B_t = p \left[1 - \left(\frac{r_0}{r} \right)^\alpha \right]$$

$$B_i = p r^{-\alpha}$$

$$M_{\mu\nu} = p r^{-\alpha},$$

α	0	1	2	3
----------	---	---	---	---

$M_{tr} \gamma^{tr}$	0	●	○	○
----------------------	---	---	---	---

$M_{tx} \gamma^{tx}$	●	●	●	●
----------------------	---	---	---	---

$M_{ty} \gamma^{ty}$	●	●	●	●
----------------------	---	---	---	---

$M_{rx} \gamma^{rx}$	●	●	●	●
----------------------	---	---	---	---

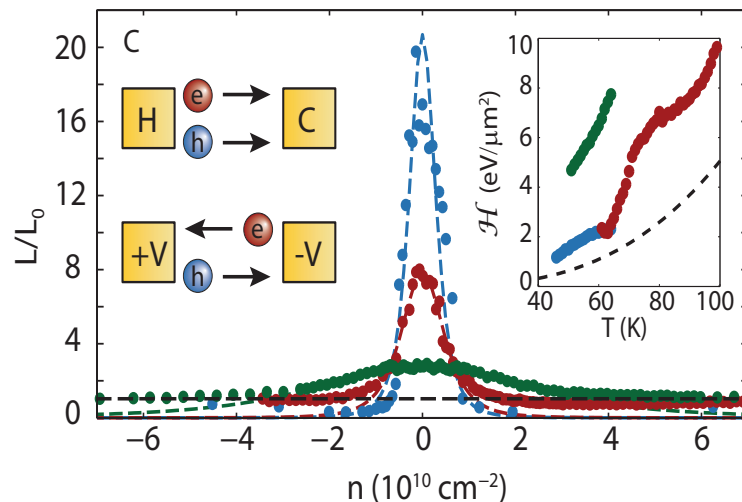
$M_{ry} \gamma^{ry}$	●	●	●	●
----------------------	---	---	---	---

$M_{xy} \gamma^{xy}$	○	○	○	○
----------------------	---	---	---	---

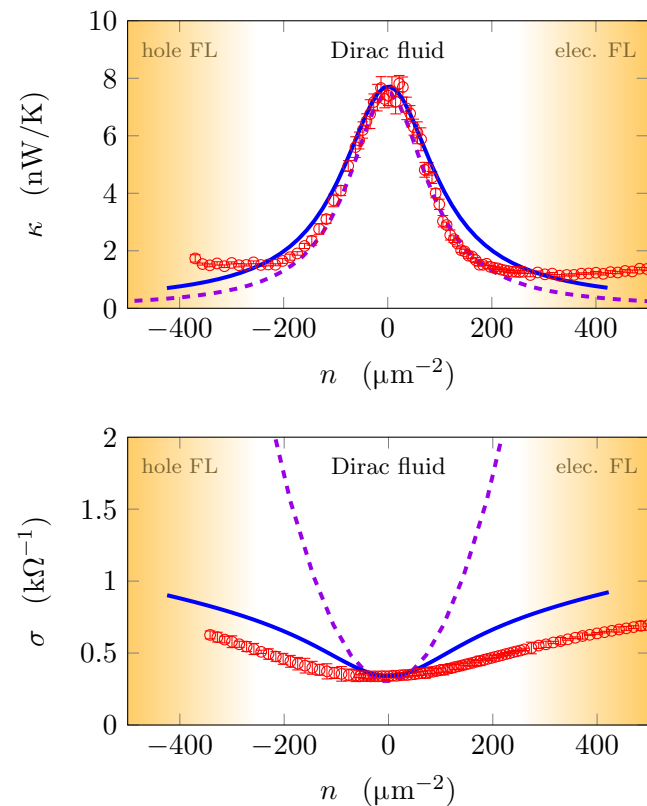
1. Graphene and anomalous transport
2. TI and magnetotransport

Observation of the Dirac fluid and the breakdown of the Wiedemann-Franz law in graphene

Jesse Crossno,^{1,2} Jing K. Shi,¹ Ke Wang,¹ Xiaomeng Liu,¹ Achim Harzheim,¹
Andrew Lucas,¹ Subir Sachdev,^{1,3} Philip Kim,^{1,2*} Takashi Taniguchi,⁴ Kenji Watanabe,⁴
Thomas A. Ohki,⁵ Kin Chung Fong^{5*}



March 2016



arXiv:1510.01738 PRB

A Holographic model

Idea : neutral current \rightarrow Enhance the heat conductivity

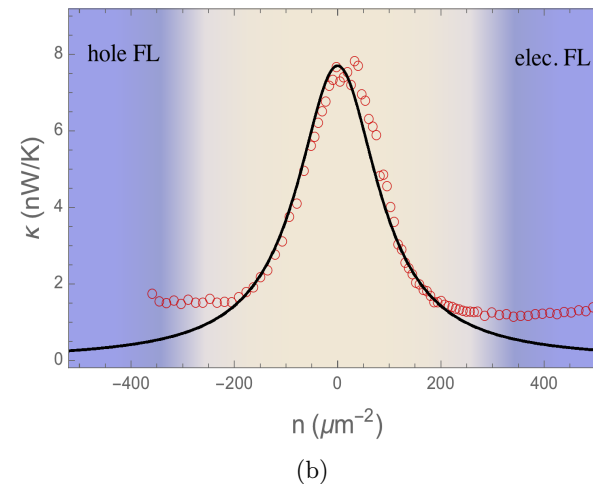
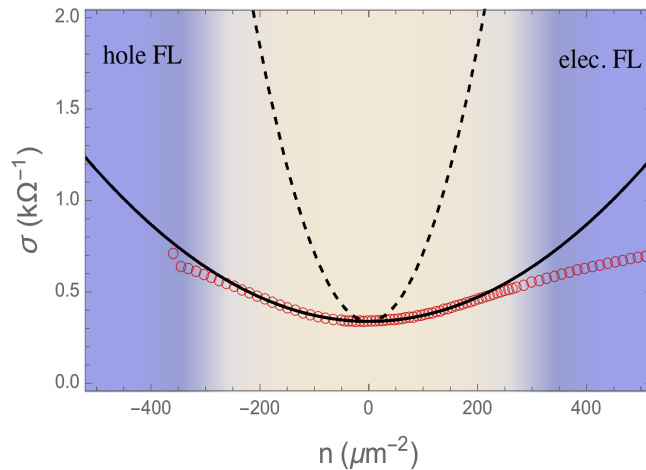
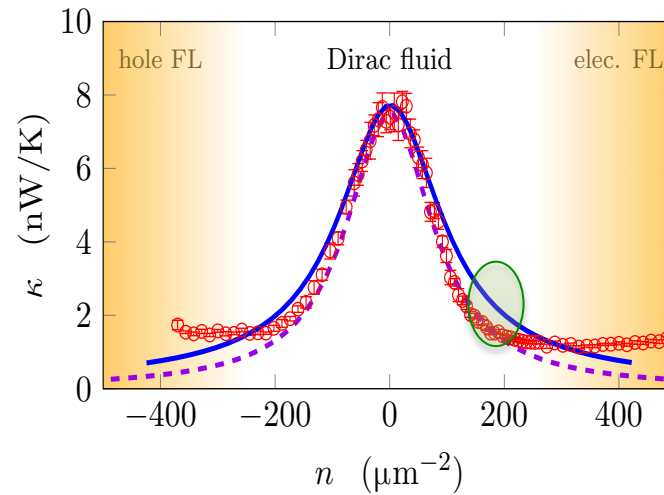
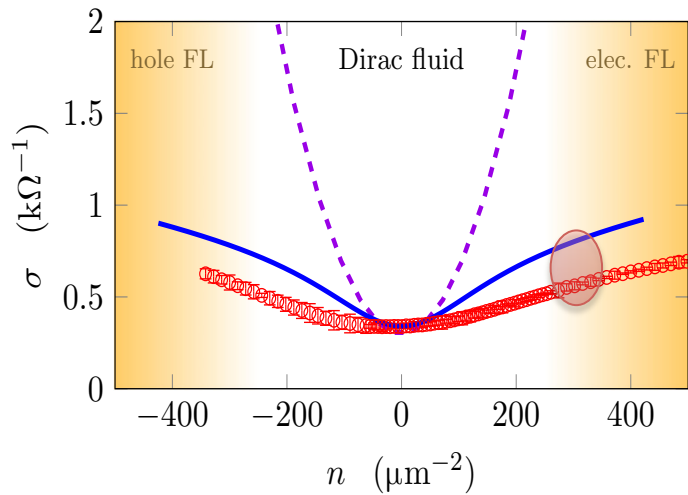
Two current model \rightarrow Two gauge field in AdS charged BH

$$S = \int d^4x \sqrt{-g} \left[R - \frac{1}{2} [(\partial\phi)^2 + \Phi_1(\phi)(\partial\chi_1)^2 + \Phi_2(\phi)(\partial\chi_2)^2] - V(\phi) - \frac{Z(\phi)}{4} F^2 - \frac{W(\phi)}{4} G^2 \right]$$

$$\sigma = \sigma_0(1 + (Q/Q_0)^2), \quad \kappa = \frac{\bar{\kappa}}{1 + (1 + g_n^2)(Q/Q_0)^2}$$

$$\sigma_0 = \frac{e^2}{\hbar} 2Z_0, \quad \bar{\kappa} = \frac{4\pi k_B sT}{\hbar k^2}, \quad Q_0^2 = \frac{\hbar\sigma_0}{4\pi k_B} s k^2.$$

Hydrodynamics vs quantum Holography in data fitting



4 parameters at $\hat{75}\text{K}$, $\sigma_0 = 0.338/k\Omega$, $\bar{\kappa} = 7.7\text{nW/K}$, $Q_0 = e \cdot 320/(\mu\text{m})^2$,



Holography of the Dirac Fluid in Graphene with Two Currents

Yunseok Seo,¹ Geunho Song,¹ Philip Kim,^{2,3} Subir Sachdev,^{2,4} and Sang-Jin Sin¹

¹*Department of Physics, Hanyang University, Seoul 133-791, Korea*

²*Department of Physics, Harvard University, Cambridge, Massachusetts 02138, USA*



Prediction

For all Dirac material listed in (1405.5774), transport is similarly anomalous.

Material	Pseudospin	Energy scale (eV)	References
Graphene, Silicene, Germanene	Sublattice	1–3 eV	[5, 6, 17, 19, 36, 37]
Artificial Graphenes	Sublattice	10^{-8} –0.1 eV	[28, 29, 38–40]
Hexagonal layered heterostructures	Emergent	0.01–0.1 eV	[41–47]
Hofstadter butterfly systems	Energent	0.01 eV	[46]
Graphene-hBN heterostructures in high magnetic fields			
Band inversion interfaces	Spin-orbit ang. mom.	0.3 eV	[48–50]
SnTe/PbTe, CdTe/HgTe, PbTe			
2D Topological Insulators	Spin-orbit ang. mom.	< 0.1eV	[7, 8, 22, 24, 51, 52]
HgTe/CdTe, InAs/GaSb, Bi bilayer, ...			
3D Topological Insulators	Spin-orbit ang. mom.	\lesssim 0.3eV	[7, 8, 23, 52–55]
Bi _{1-x} Sb _x , Bi ₂ Se ₃ , strained HgTe, Heusler alloys, ...			
Topological crystalline insulators	orbital	\lesssim 0.3eV	[56–59]
SnTe, Pb _{1-x} Sn _x Se			
<i>d</i> -wave cuprate superconductors	Nambu pseudospin	\lesssim 0.05eV	[60, 61]
³ He	Nambu pseudospin	0.3 μ eV	[2, 3]
3D Weyl and Dirac semimetals	Energy bands	Unclear	[32–34]
Cd ₃ As ₂ , Na ₃ Bi			

Table 1. Table of Dirac materials indicated by material family, pseudospin realization in the Dirac Hamiltonian, and the energy scale for which the Dirac spectrum is present without any other states.

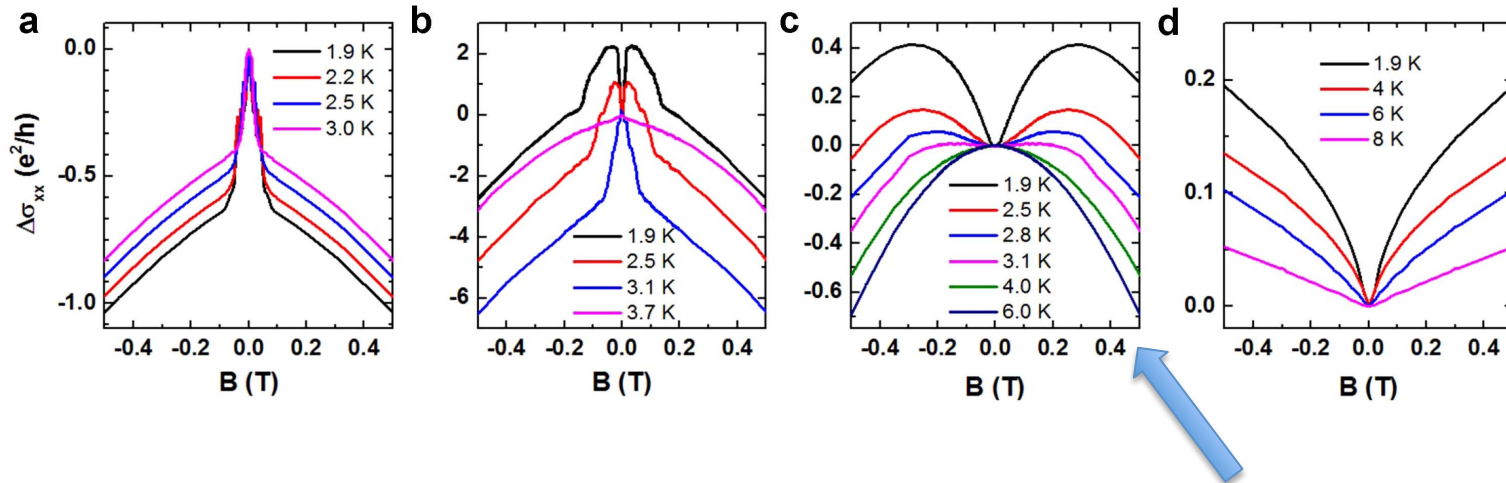
Ex: Surface of TI

Similar, but differ by strong spin-orbit interaction

Surface of TI : WAL \rightarrow WL transition

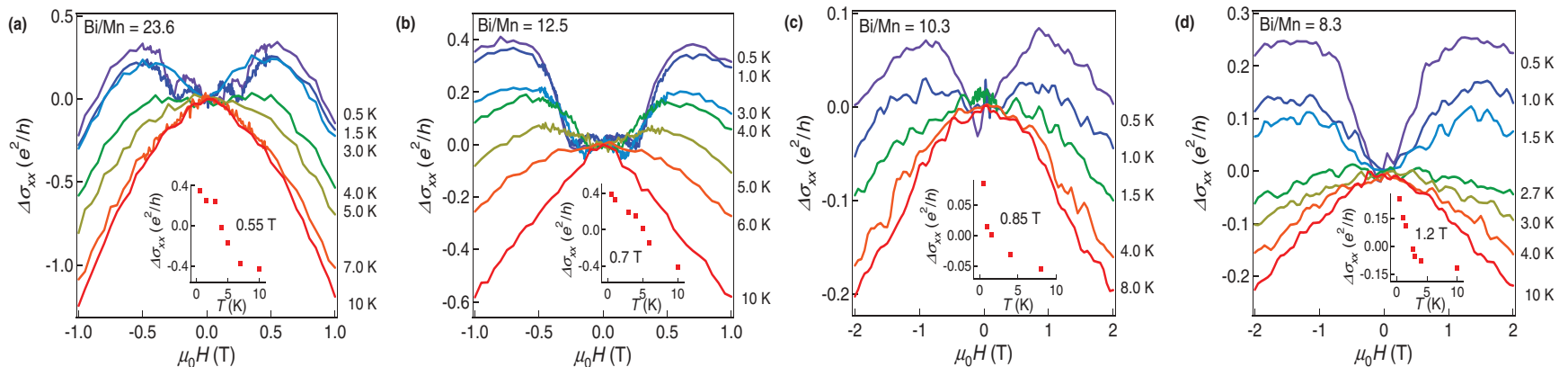
1. Bi_2Te_3 with Cr doping:

Bao et.al, SREP02391



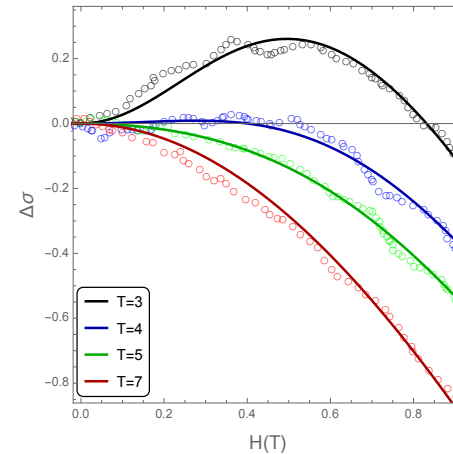
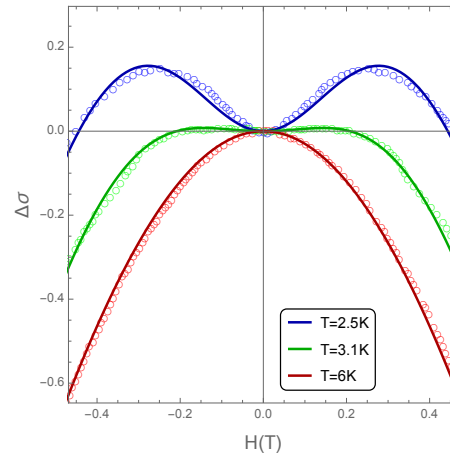
2. Bi_2Se_3 with Mn doping :

Zhang et.al, prB86,205127(2012)

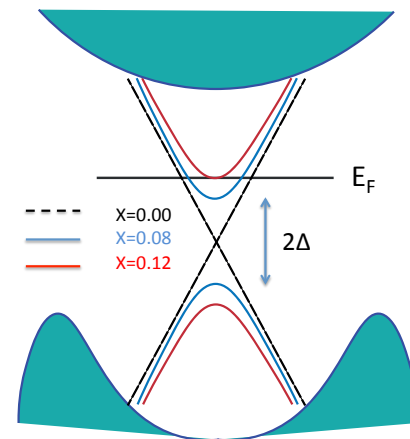


Result : Interplay of bulk and boundary

Universality : Both Cr doped Bi_2Te_3 , Mn doped Bi_2Se_3 can be fit by the same theory with different parameters.



Reason why it works:
Small FS at intermediate doping.



results

Strong Correlation Effects on Surfaces of Topological Insulators via Holography

Yunseok Seo, Geunho Song and Sang-Jin Sin
Department of Physics, Hanyang University, Seoul 04763, Korea.

[Phys.Rev. B96 rapid comm. \(2017\) no.4, 041104](#)

Small Fermi Surfaces and Strong Correlation Effects in Dirac Materials with Holography

Y. Seo, G. Song, C. Park + SJS

[JHEP 1710 \(2017\) 204](#)

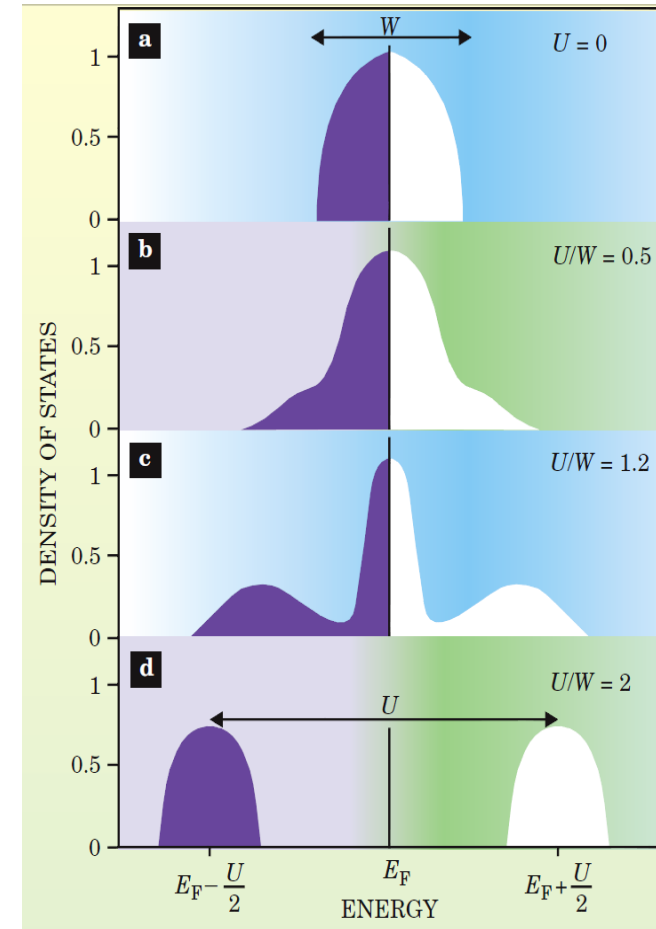
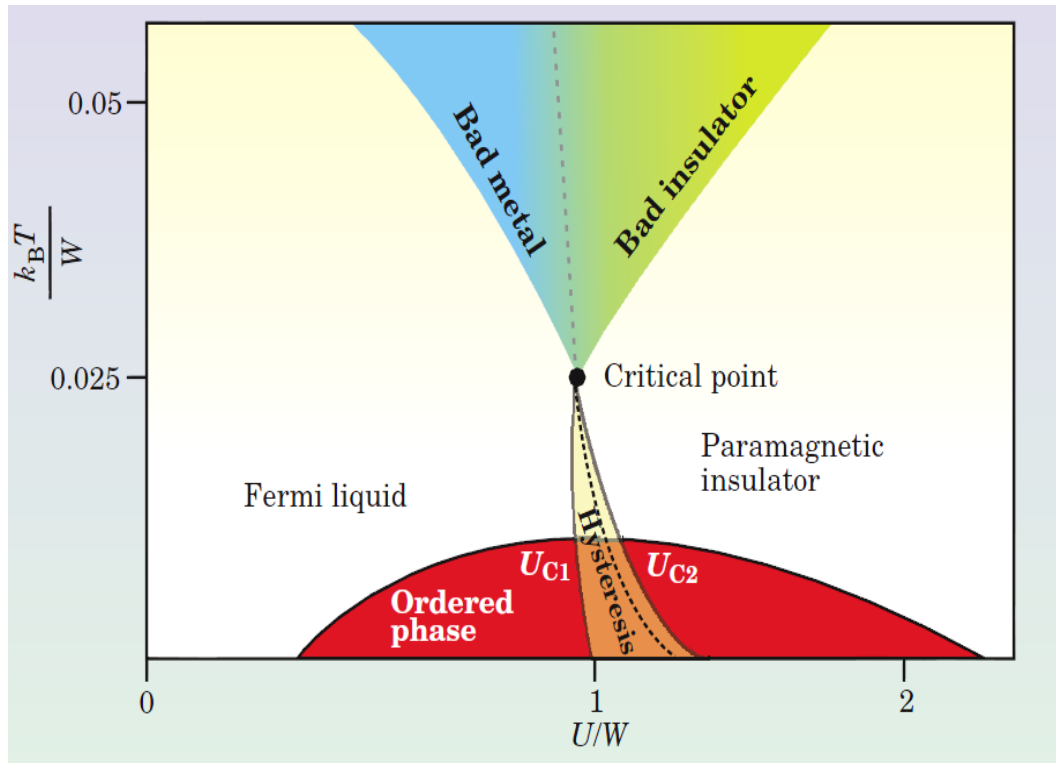
Conclusion

- New insight of gap creation by considering m as well as p
- Described how to encode the holes in holography.
- Hubbard model can be replaced by a holographic model
- Data for Dirac material and Transition metal can be fit.

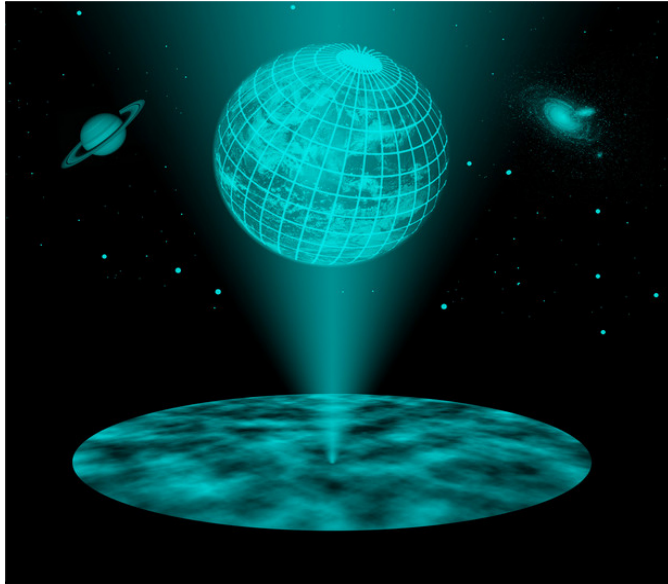
Thank you.



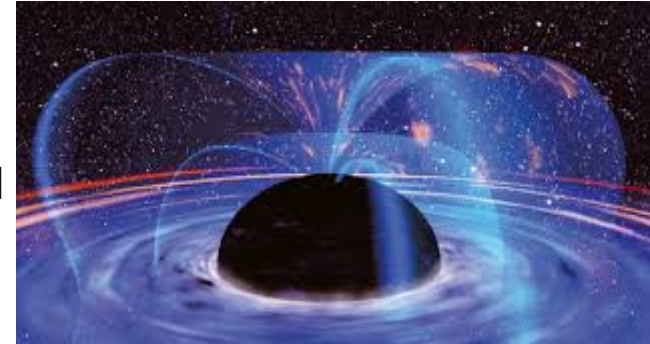
Mott Transition First order?



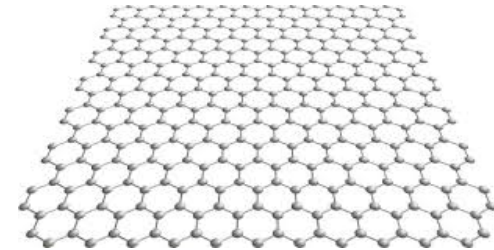
Method : quantum holography



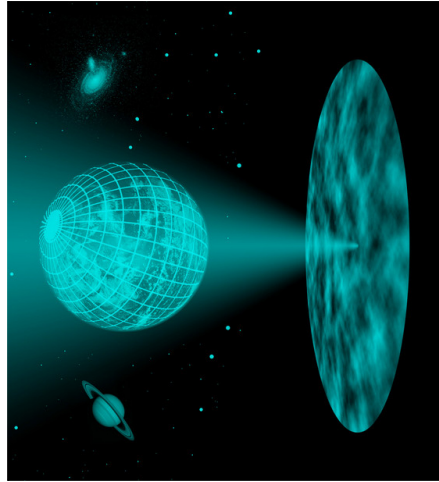
3 dim.
Classical
BH



2 dim.
Quantum
Matter



2d SIS (near QCP) hologram = 3dim Black hole
→ quantum black hole



QCP : dynamical exponent

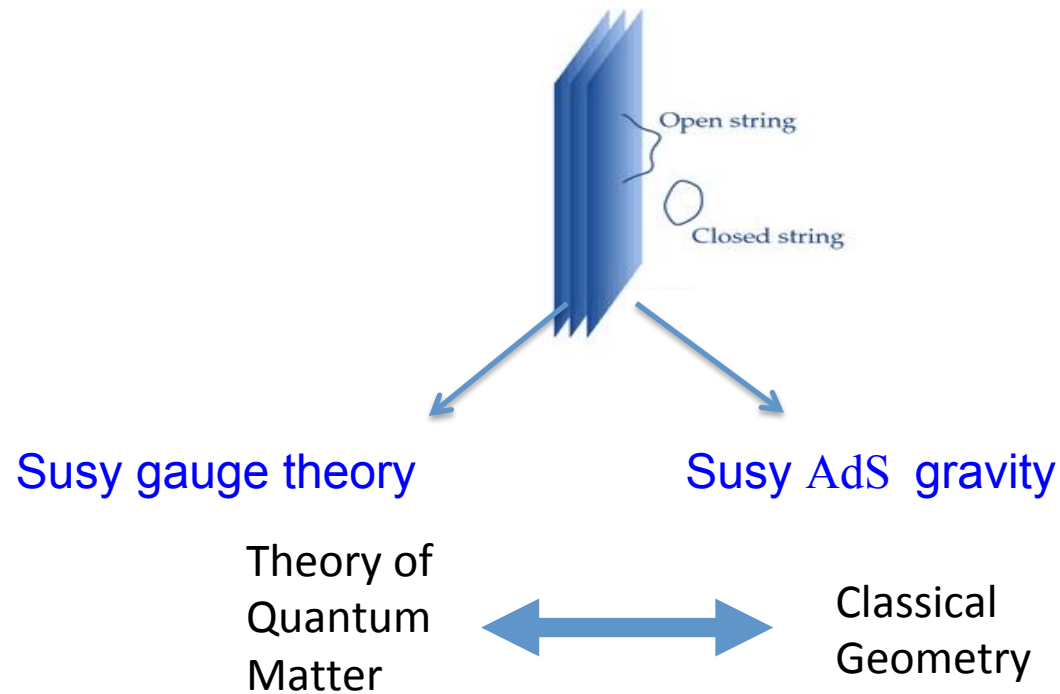
But

BH :

\leftrightarrow equilibrium, fluid dynamic behavior

\leftrightarrow transport(transport is input in traditional fluid dynamics)

Supersymmetric D-brane



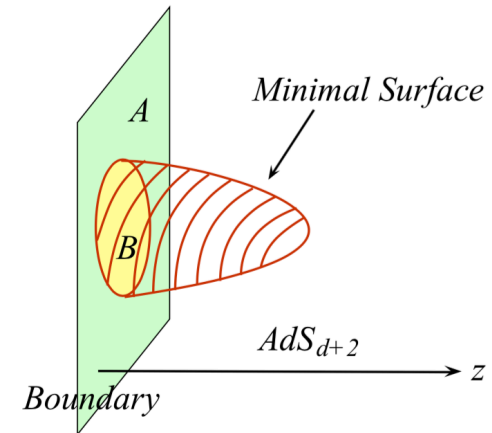
General case without SUSY

- i. Find example outside string theory.
- ii. Assume \rightarrow calculate \rightarrow compare with exp..

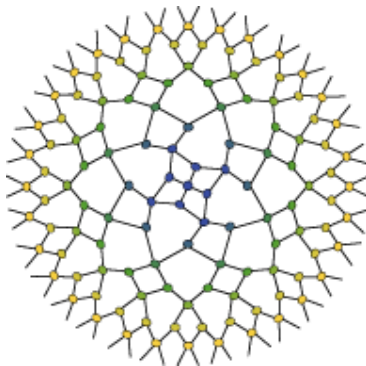
i) Evidence outside string theory

1. entanglement entropy calculation in 2d

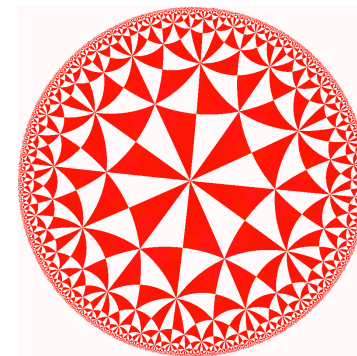
Ryu & Takayanagi (2006)



2. Tensor network : (Multiscale Entanglement Renormalization Ansatz)



[Swingle]



Comment : Entanglement and Holography

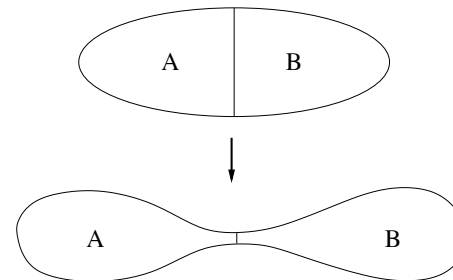
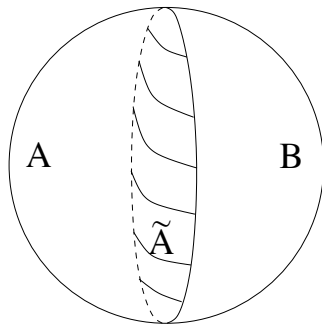
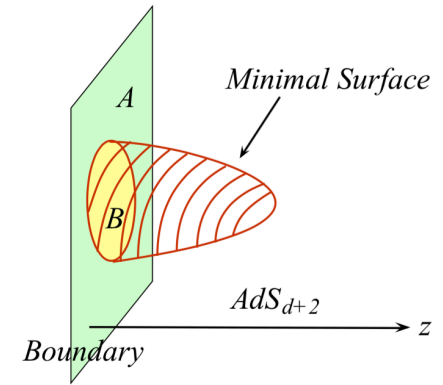
Ryu & Takayanagi (2006) by product.

Presence of dual space time=
presence of high entanglement

Raamsdonk : classical .

Space is sewn by entanglement.

Entanglement first law \rightarrow Linearized gravity equation.

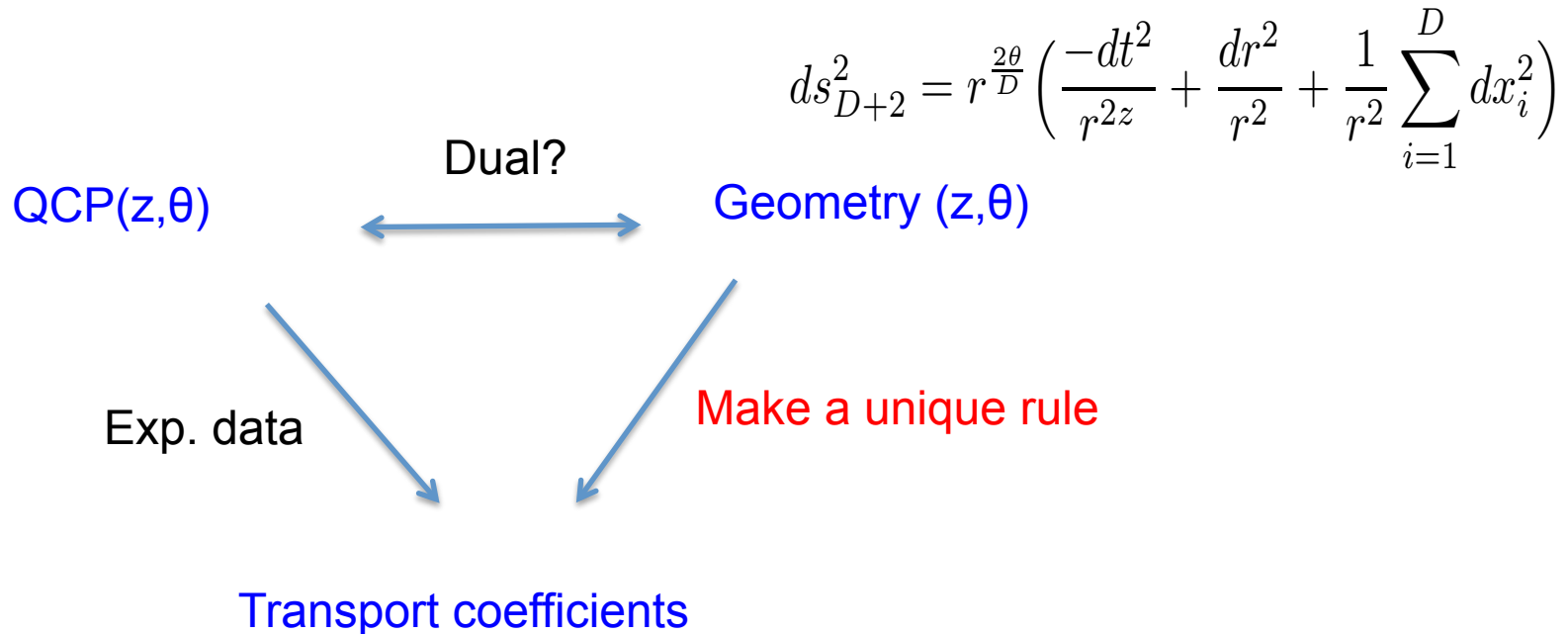


Complete Einstein equation from the generalized First Law of Entanglement

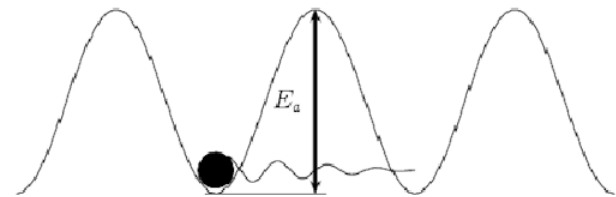
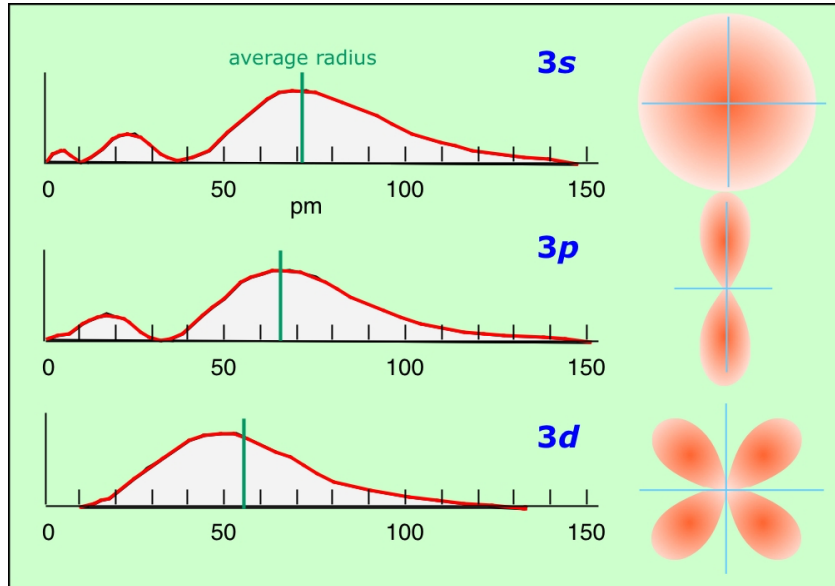
Eunseok Oh (Hanyang U.), I.Y. Park (Philander Smith Coll.), Sang-Jin Sin (Hanyang U.):

arXiv:1709.05752 [hep-th] | PDF

AdS/CFT : an exact duality where dictionary is given
Hydrogen atom of Holographic Duality

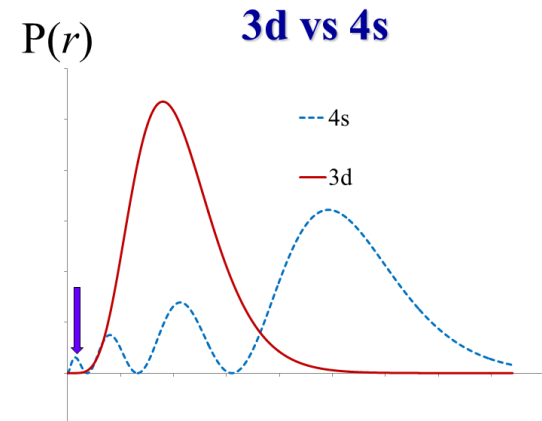


Why 3d? why Oxide?

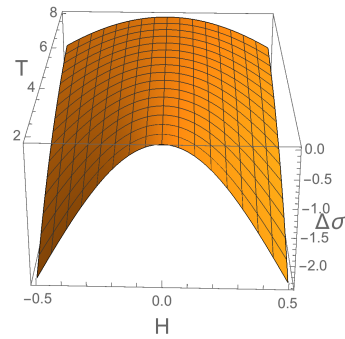


↓

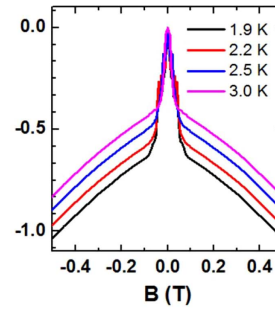
Transition metal oxide $3d^{1-10} 4s^{1-}$ ←



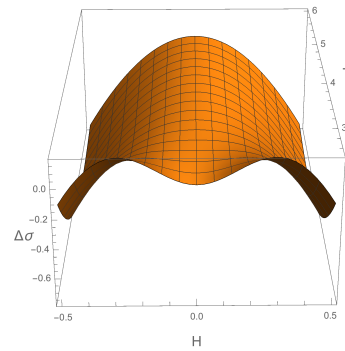
Surface states of TI [1703.07361]



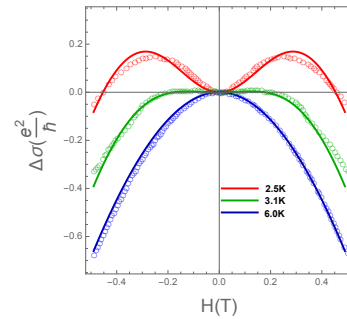
(a)



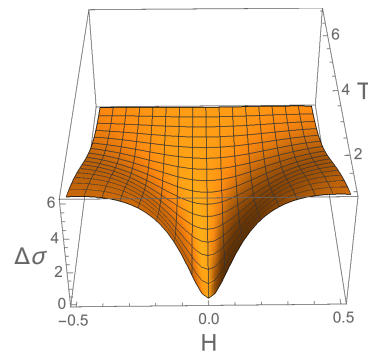
(b)



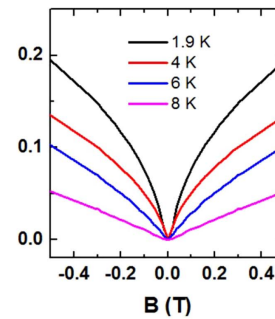
(c)



(d)

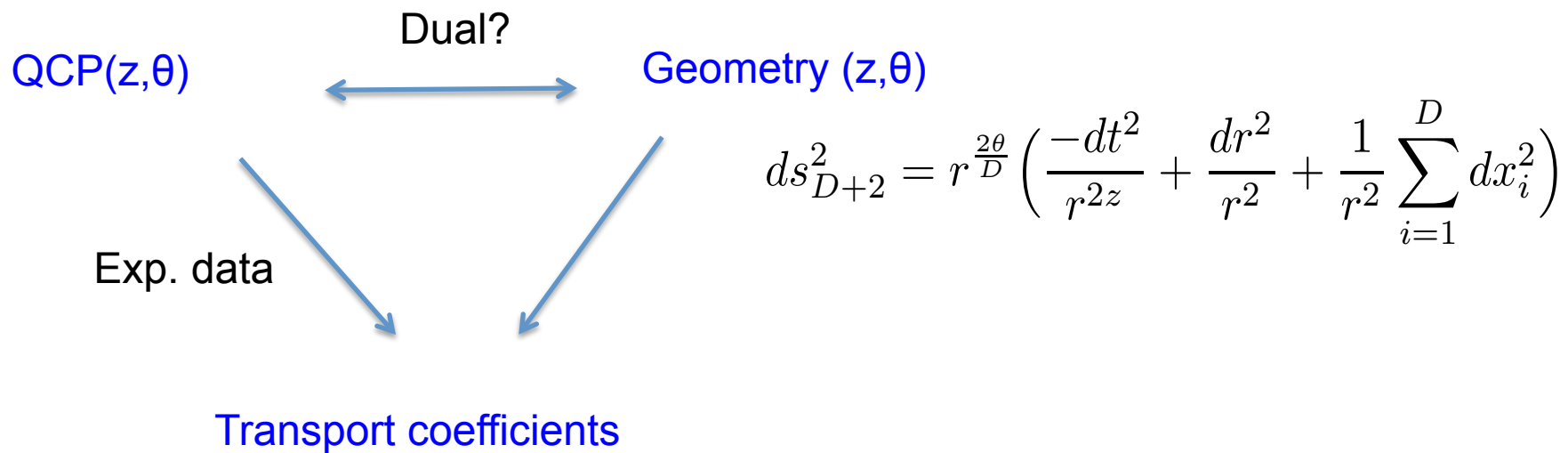


(e)



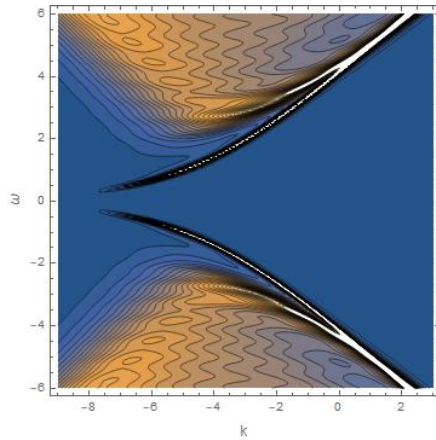
(f)

Postulate: the dictionary of ads/cft works for in general

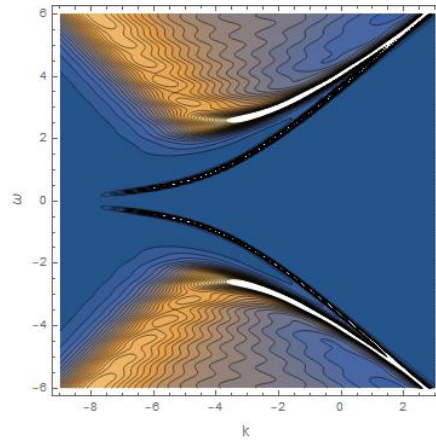


Analogy: wave eq. \rightarrow Schrodinger eq. under force.

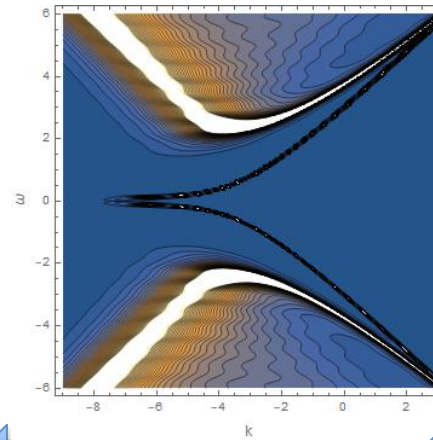
Role of m in Gap creation



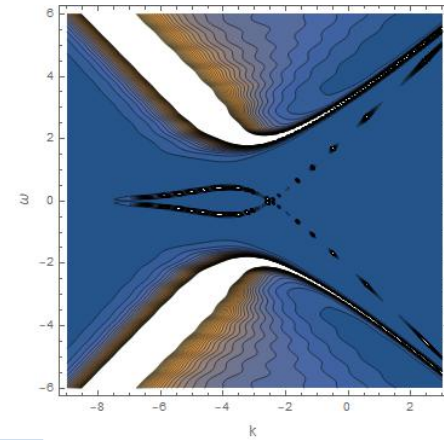
(i) $m = 0$ $p = 6$



(j) $m = 0.15$ $p = 6$

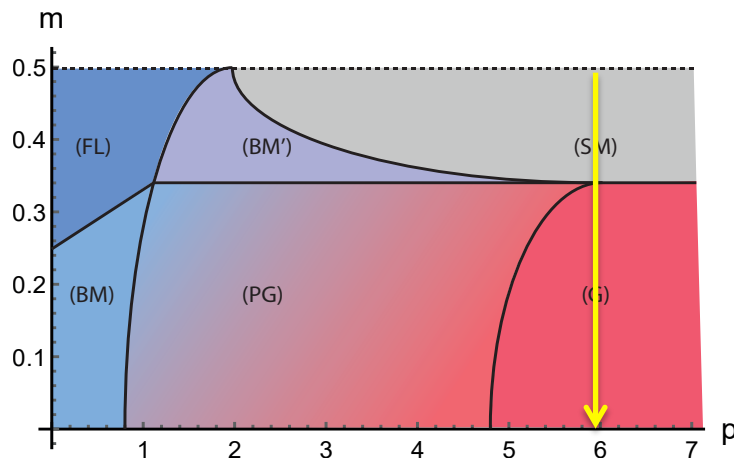


(k) $m = 0.3$ $p = 6$

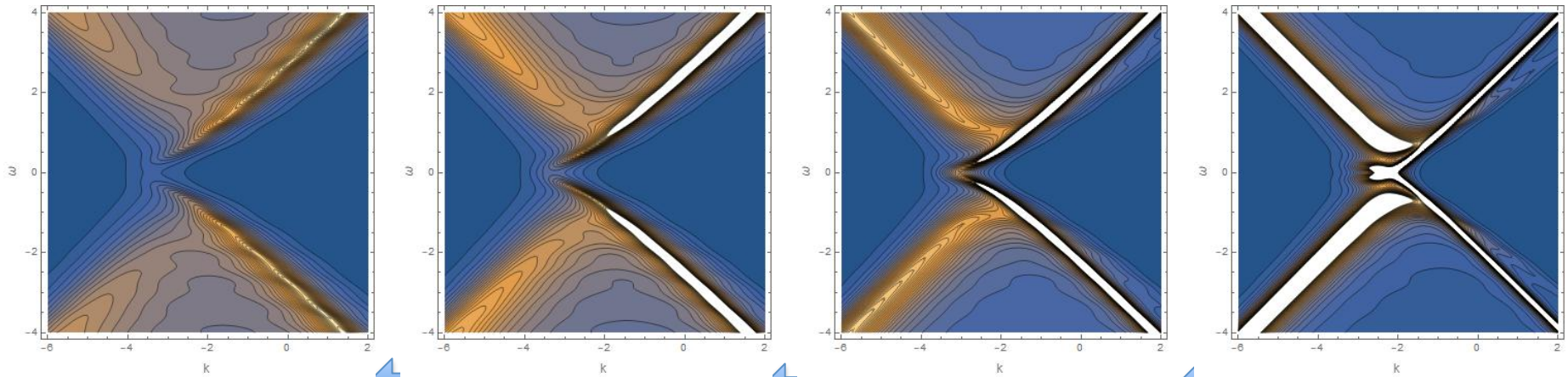


(l) $m = 0.45$ $p = 6$

Now as we decrease m further, the lower one goes down, upper one goes up \rightarrow gap creation, i.e., As $(\frac{1}{2}-m)$ increases, gap is created.



Role of m in psuedo-Gap generation

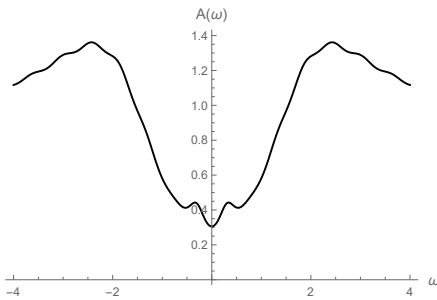


(a) $m = 0$ $p = 2.5$

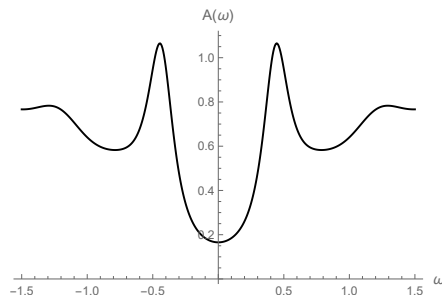
(b) $m = 0.15$ $p = 2.5$

(c) $m = 0.3$ $p = 2.5$

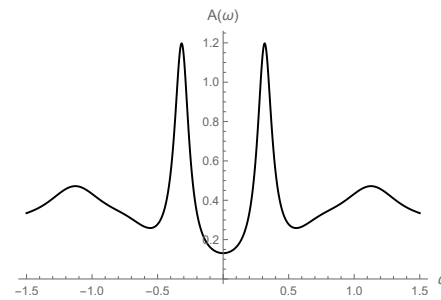
(d) $m = 0.45$ $p = 2.5$



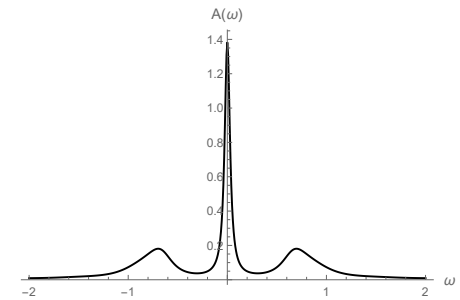
(e) $m = 0$ $p = 2.5$



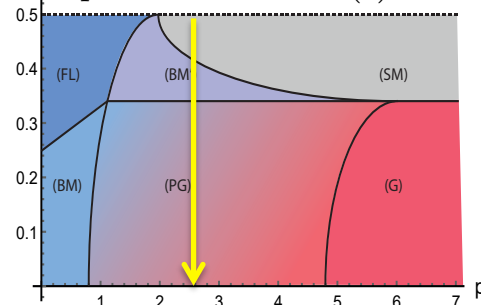
(f) $m = 0.15$ $p = 2.5$



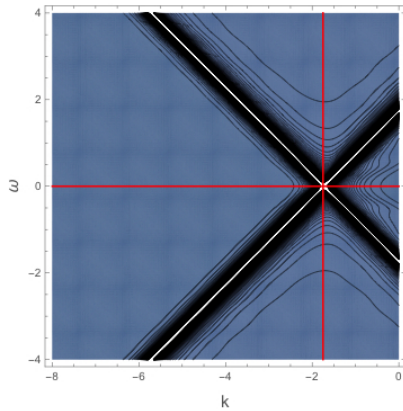
(g) $m = 0.3$ $p = 2.5$



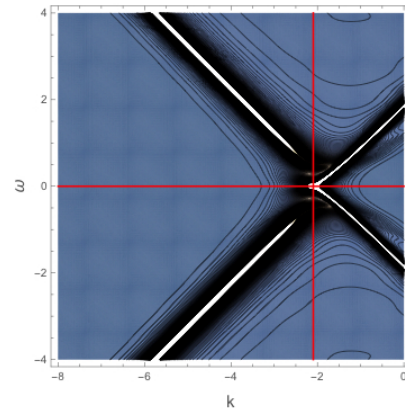
(h) $m = 0.45$ $p = 2.5$



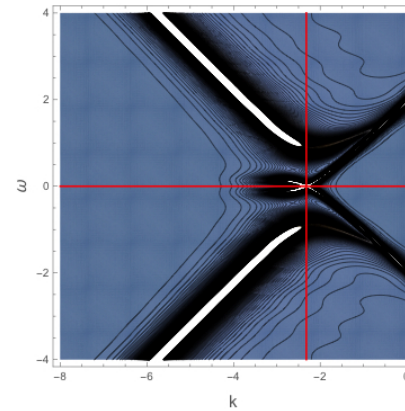
Role of p : creation of new band



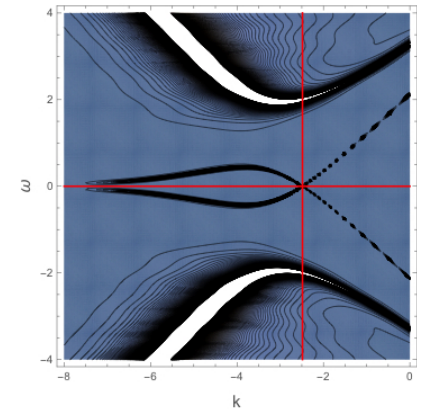
(a) $p = 1$



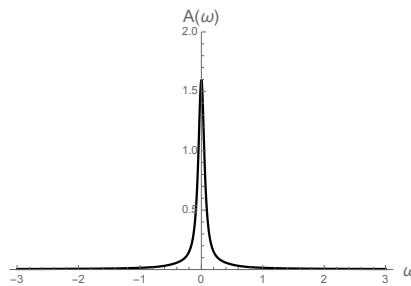
(b) $p = 2$



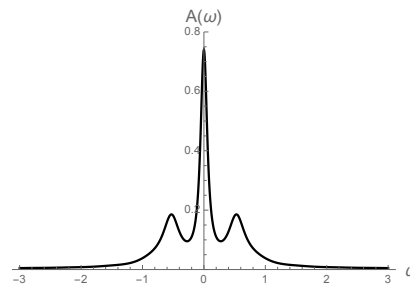
(c) $p = 3$



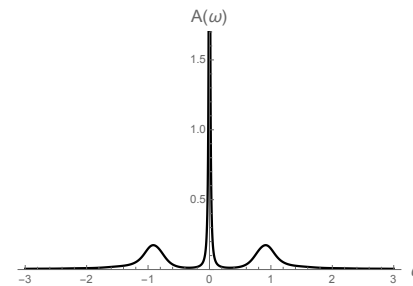
(d) $p = 6$



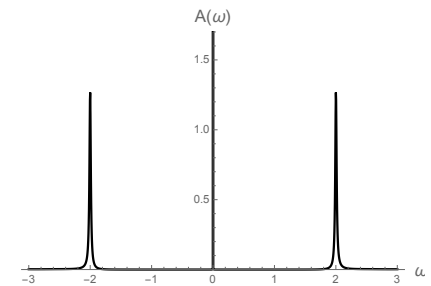
(e) $p = 1$



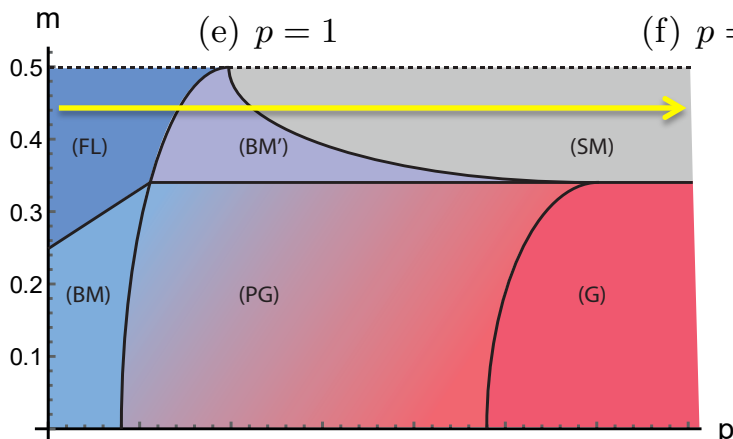
(f) $p = 2$



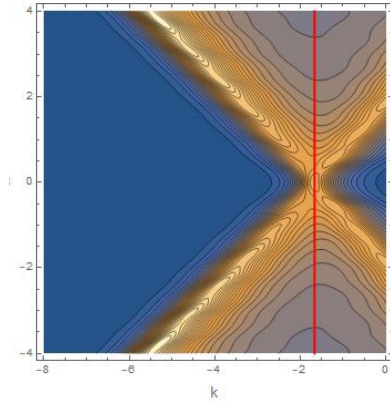
(g) $p = 3$



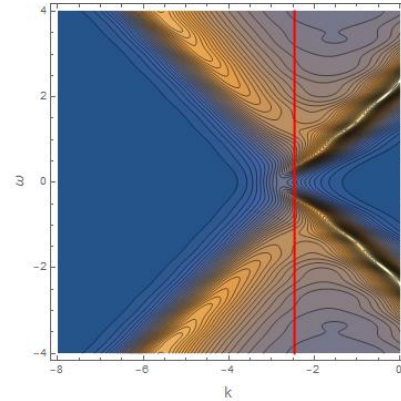
(h) $p = 6$



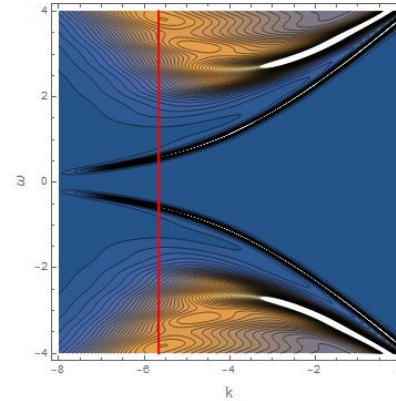
Role of p in PG and Gap creation



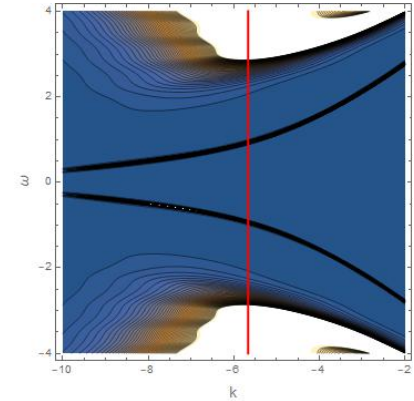
(a) $p = 1$



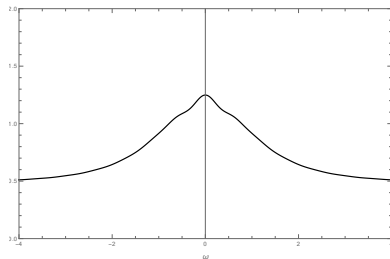
(b) $p = 2$



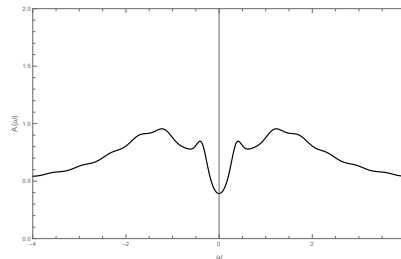
(c) $p = 6$



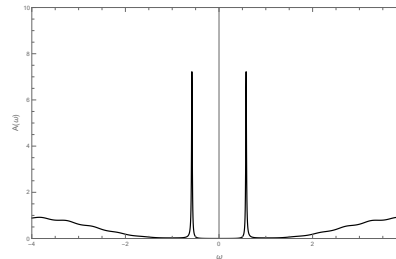
(d) $p = 8$



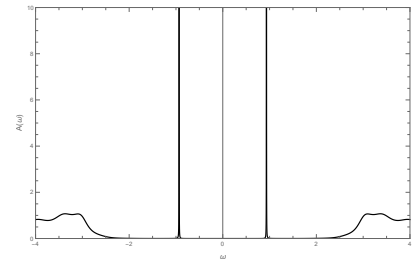
(e) $p = 1$



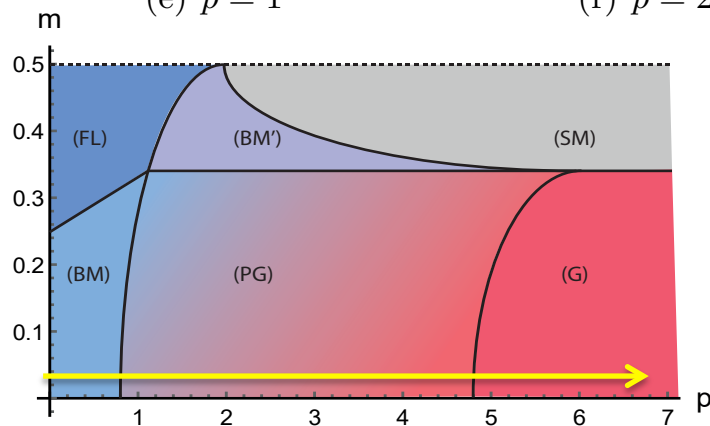
(f) $p = 2$



(g) $p = 6$

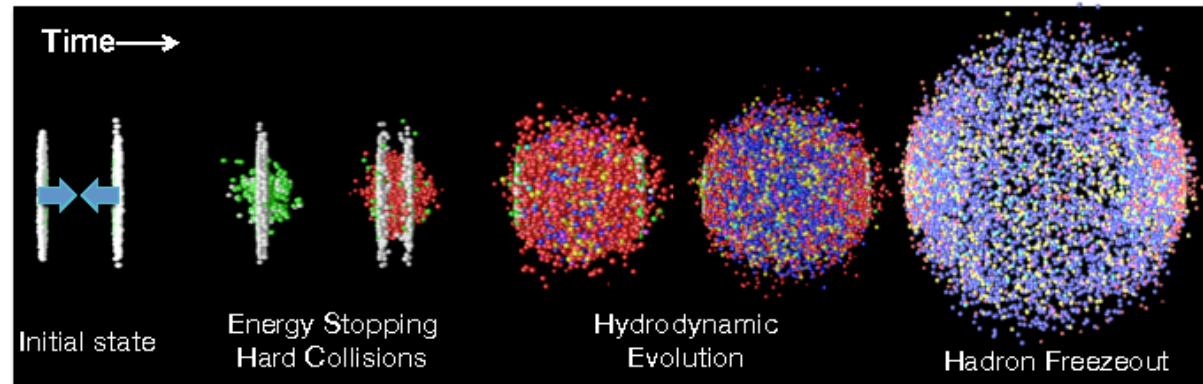
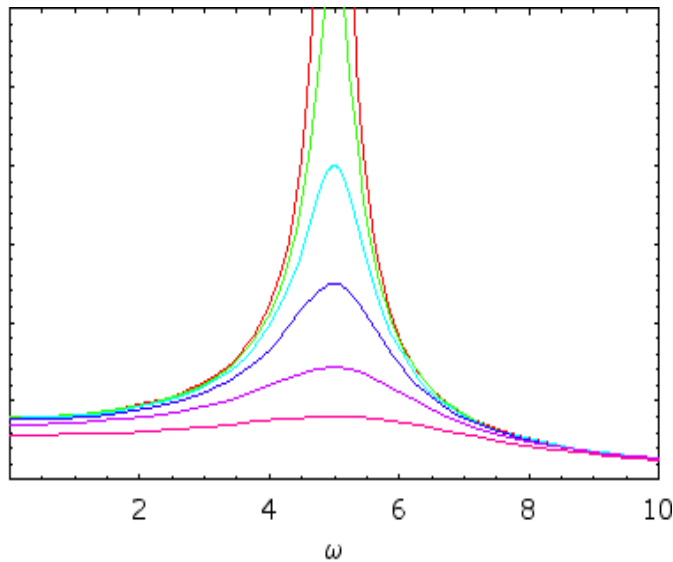


(h) $p = 8$



Effect of strong Interaction

1. Loss of particle \rightarrow Loss of calculability, Loss of Fermi sea
2. Rapid Thermalization \rightarrow enables Hydro-dynamics w/small viscosity
 \sim Gravity dual description

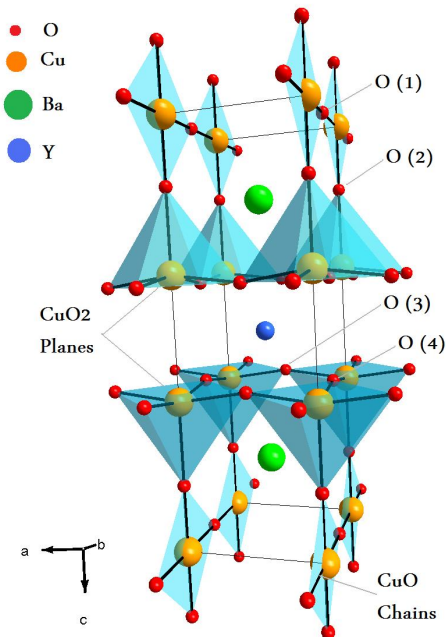


Problem and Implications

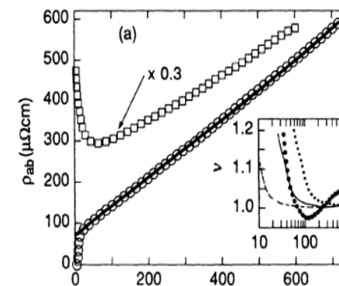
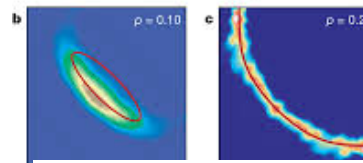
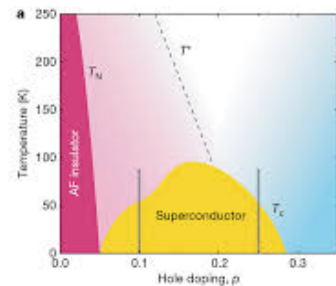
Condensed matter theory

= **Structure** (UV) \rightarrow **functionality** (IR) Transport and Spectrum

Not applicable to SIS.

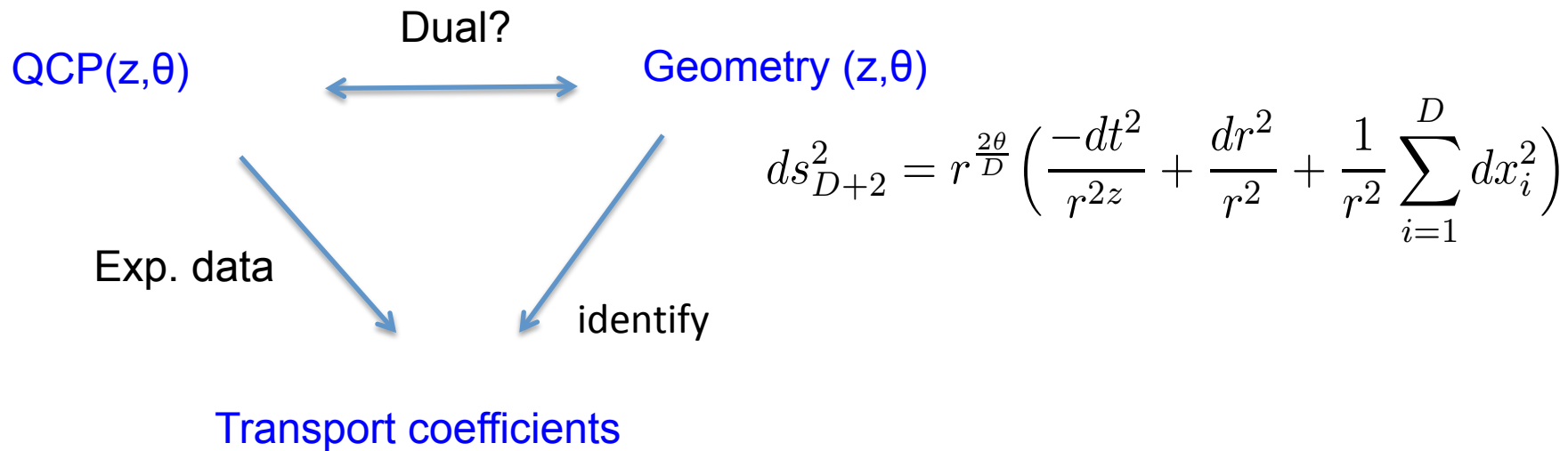


keV \rightarrow meV



Ads/cmt=postulate the dictionary of ads/cft works here

General idea is to identify QCP and the ads BH.



Therefore,

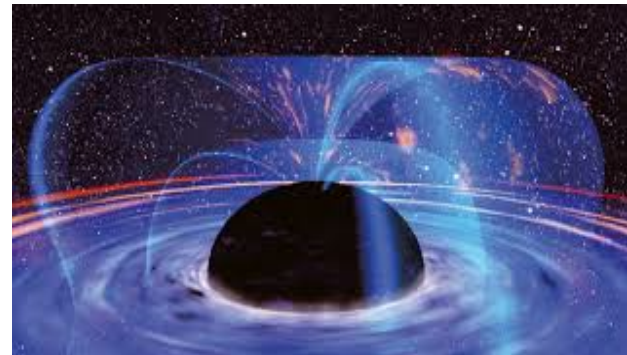
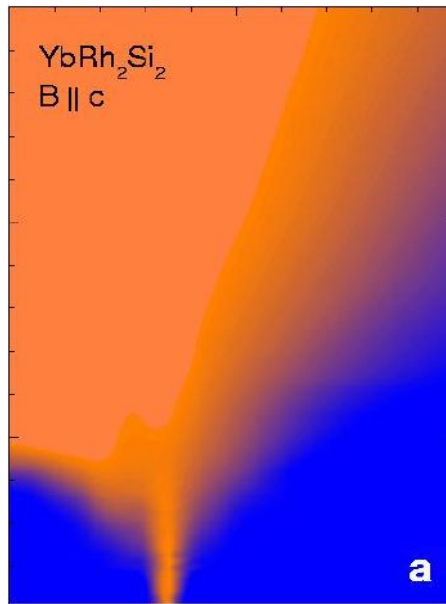
My favorite reason why AdS/CMT:

Similarity of QCDP and BH

absence of scale \rightarrow absence of structural dependence

\rightarrow Universality

Classify QCP by dynamical exponent Z, θ : $\omega = k^Z$, $[s] = D - \theta$



Both have

i) Matching symmetry, parameters

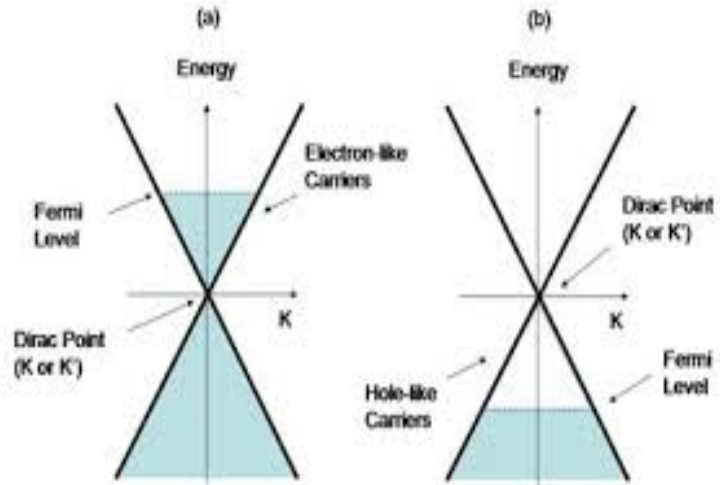
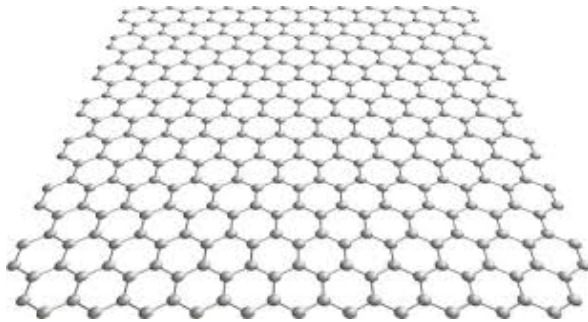
ii) Information loss \rightarrow Universality

iii) Thermodynamics

iv) Transports

I. Dirac Class

Simplest QCP with $z=1$: include **graphene**



Q: strong coupling? **Yes, generically. Weak in metal due to screening**

$$1. \quad g^2 = \frac{e^2 \cdot c}{4\pi\epsilon\hbar c \cdot v_F} \sim 1$$

2. near Dirac Point \rightarrow **Tiny FS** \rightarrow No (insufficient) screening