# SMEFT and HEFT for new physics searches at the LHC

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## The Standard Model Effective Field Theory – SMEFT

promoting the Standard Model to an EFT

add **higher-dimensional** terms made of SM **fields** and respecting the SM **symmetries** 

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda} \mathcal{L}_5 + \frac{1}{\Lambda^2} \mathcal{L}_6 + \frac{1}{\Lambda^3} \mathcal{L}_7 + \frac{1}{\Lambda^4} \mathcal{L}_8 + \dots \qquad \qquad \mathcal{L}_d = \sum_i C_i \mathcal{O}_i^{(d)}$$

 $C_i =$  Wilson coefficients

 $\mathcal{O}_i^{(d)} =$ gauge-invariant operators forming a <u>basis</u>: a complete, non-redundant set

- describes any beyond-SM theory, provided it lives at  $\Lambda \gg v$
- ▶ a complete catalogue of all allowed beyond-SM effects, organized by expected size
- not experiment-specific  $\rightarrow$  can be used as a **common framework** for LHC and other experiments
- ▶ a proper QFT: renormalizable order-by-order, systematically improvable in loops

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### SMEFT at d = 6: the Warsaw basis

X <sup>3</sup>		$\varphi^6$ and $\varphi^4 D^2$		$\psi^2 arphi^3$		
$Q_G$	$f^{ABC}G^{A\nu}_{\mu}G^{B\rho}_{\nu}G^{C\mu}_{\rho}$	$Q_{arphi}$	$(arphi^\dagger arphi)^3$	$Q_{e\varphi}$	$(arphi^{\dagger}arphi)(ar{l}_{p}e_{r}arphi)$	
$Q_{\widetilde{G}}$	$f^{ABC} \widetilde{G}^{A\nu}_{\mu} G^{B\rho}_{\nu} G^{C\mu}_{\rho}$	$Q_{\varphi \Box}$	$(arphi^\daggerarphi)\Box(arphi^\daggerarphi)$	$Q_{u\varphi}$	$(arphi^\dagger arphi) (ar q_p u_r \widetilde arphi)$	
$Q_W$	$\varepsilon^{IJK}W^{I\nu}_{\mu}W^{J\rho}_{\nu}W^{K\mu}_{\rho}$	$Q_{\varphi D}$	$\left( arphi^{\dagger} D^{\mu} arphi  ight)^{\star} \left( arphi^{\dagger} D_{\mu} arphi  ight)$	$Q_{d\varphi}$	$(arphi^\dagger arphi) (ar q_p d_r arphi)$	
$Q_{\widetilde{W}}$	$\varepsilon^{IJK}\widetilde{W}^{I\nu}_{\mu}W^{J\rho}_{\nu}W^{K\mu}_{\rho}$					
$X^2 \varphi^2$		$\psi^2 X \varphi$		$\psi^2 arphi^2 D$		
$Q_{\varphi G}$	$\varphi^{\dagger}\varphiG^{A}_{\mu u}G^{A\mu u}$	$Q_{eW}$	$(\bar{l}_p \sigma^{\mu u} e_r) \tau^I \varphi W^I_{\mu u}$	$Q_{\varphi l}^{(1)}$	$(\varphi^{\dagger}i\overleftrightarrow{D}_{\mu}\varphi)(\overline{l}_{p}\gamma^{\mu}l_{r})$	-
$Q_{\varphi \widetilde{G}}$	$arphi^{\dagger} arphi  \widetilde{G}^{A}_{\mu u} G^{A\mu u}$	$Q_{eB}$	$(\bar{l}_p \sigma^{\mu u} e_r) \varphi B_{\mu u}$	$Q_{arphi l}^{(3)}$	$(\varphi^{\dagger}i\overleftrightarrow{D}^{I}_{\mu}\varphi)(\bar{l}_{p}\tau^{I}\gamma^{\mu}l_{r})$	
$Q_{\varphi W}$	$arphi^\dagger arphi W^I_{\mu u} W^{I\mu u}$	$Q_{uG}$	$(\bar{q}_p \sigma^{\mu u} T^A u_r) \widetilde{\varphi}  G^A_{\mu u}$	$Q_{arphi e}$	$(\varphi^{\dagger}i\overleftrightarrow{D}_{\mu}\varphi)(\bar{e}_{p}\gamma^{\mu}e_{r})$	Fa
$Q_{\varphi \widetilde{W}}$	$arphi^\dagger arphi \widetilde{W}^I_{\mu u} W^{I\mu u}$	$Q_{uW}$	$(\bar{q}_p \sigma^{\mu u} u_r) \tau^I \widetilde{\varphi}  W^I_{\mu u}$	$Q^{(1)}_{arphi q}$	$(\varphi^{\dagger}i\overleftrightarrow{D}_{\mu}\varphi)(\bar{q}_{p}\gamma^{\mu}q_{r})$	IB
$Q_{\varphi B}$	$arphi^\dagger arphi  B_{\mu u} B^{\mu u}$	$Q_{uB}$	$(\bar{q}_p \sigma^{\mu u} u_r) \widetilde{\varphi}  B_{\mu u}$	$Q^{(3)}_{\varphi q}$	$(\varphi^{\dagger}i\overleftrightarrow{D}^{I}_{\mu}\varphi)(\bar{q}_{p}\tau^{I}\gamma^{\mu}q_{r})$	
$Q_{\varphi \widetilde{B}}$	$arphi^\dagger arphi  \widetilde{B}_{\mu u} B^{\mu u}$	$Q_{dG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \varphi  G^A_{\mu\nu}$	$Q_{\varphi u}$	$(\varphi^{\dagger}i\overleftrightarrow{D}_{\mu}\varphi)(\bar{u}_{p}\gamma^{\mu}u_{r})$	
$Q_{\varphi WB}$	$arphi^\dagger  au^I arphi  W^I_{\mu u} B^{\mu u}$	$Q_{dW}$	$(\bar{q}_p \sigma^{\mu u} d_r) \tau^I \varphi W^I_{\mu u}$	$Q_{arphi d}$	$(\varphi^{\dagger}i\overleftrightarrow{D}_{\mu}\varphi)(\bar{d}_{p}\gamma^{\mu}d_{r})$	
$Q_{\varphi \widetilde{W}B}$	$arphi^\dagger  au^I arphi  \widetilde{W}^I_{\mu u} B^{\mu u}$	$Q_{dB}$	$(\bar{q}_p \sigma^{\mu u} d_r) \varphi  B_{\mu u}$	$Q_{\varphi ud}$	$i(\widetilde{\varphi}^{\dagger}D_{\mu}\varphi)(\bar{u}_{p}\gamma^{\mu}d_{r})$	

free parameters

go down to O(100) imposing flavor symmetries, CP Faroughy et al 2005.05366 Greijo et al 2203.09561 IB 2012.11343

> they are  $\sim$  never all relevant at the same time

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3 rzadkowski, Iskrzynski, Misiak, Rosiek 1008.4884

## **SMEFT** at d = 6: the Warsaw basis

$(\bar{L}L)(\bar{L}L)$		$(ar{R}R)(ar{R}R)$		$(\bar{L}L)(\bar{R}R)$		
$Q_{ll}$	$(ar{l}_p \gamma_\mu l_r) (ar{l}_s \gamma^\mu l_t)$	$Q_{ee}$	$(ar{e}_p \gamma_\mu e_r) (ar{e}_s \gamma^\mu e_t)$	$Q_{le}$	$(ar{l}_p \gamma_\mu l_r) (ar{e}_s \gamma^\mu e_t)$	
$Q_{qq}^{(1)}$	$(ar q_p \gamma_\mu q_r) (ar q_s \gamma^\mu q_t)$	$Q_{uu}$	$(ar{u}_p \gamma_\mu u_r)(ar{u}_s \gamma^\mu u_t)$	$Q_{lu}$	$(ar{l}_p \gamma_\mu l_r) (ar{u}_s \gamma^\mu u_t)$	
$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r) (\bar{q}_s \gamma^\mu \tau^I q_t)$	$Q_{dd}$	$(ar{d}_p\gamma_\mu d_r)(ar{d}_s\gamma^\mu d_t)$	$Q_{ld}$	$(ar{l}_p \gamma_\mu l_r) (ar{d}_s \gamma^\mu d_t)$	
$Q_{lq}^{(1)}$	$(ar{l}_p \gamma_\mu l_r) (ar{q}_s \gamma^\mu q_t)$	$Q_{eu}$	$(ar{e}_p \gamma_\mu e_r) (ar{u}_s \gamma^\mu u_t)$	$Q_{qe}$	$(ar q_p \gamma_\mu q_r) (ar e_s \gamma^\mu e_t)$	
$Q_{lq}^{(3)}$	$(ar{l}_p \gamma_\mu  au^I l_r) (ar{q}_s \gamma^\mu  au^I q_t)$	$Q_{ed}$	$(ar{e}_p \gamma_\mu e_r) (ar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(ar{q}_p \gamma_\mu q_r) (ar{u}_s \gamma^\mu u_t)$	
		$Q_{ud}^{(1)}$	$(ar{u}_p \gamma_\mu u_r) (ar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r) (\bar{u}_s \gamma^\mu T^A u_t)$	
		$Q_{ud}^{(8)}$	$(ar{u}_p \gamma_\mu T^A u_r) (ar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(1)}$	$(ar{q}_p \gamma_\mu q_r) (ar{d}_s \gamma^\mu d_t)$	
				$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r) (\bar{d}_s \gamma^\mu T^A d_t)$	
$(\bar{L}R)$	$(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$	B-violating				
$Q_{ledq}$	$(ar{l}_p^j e_r) (ar{d}_s q_t^j)$	$Q_{duq}$	$\varepsilon^{\alpha\beta\gamma}\varepsilon_{jk}\left[(d_p^{\alpha})^TCu_r^{\beta}\right]\left[(q_s^{\gamma j})^TCl_t^k\right]$			
$Q_{quqd}^{(1)}$	$(ar{q}^j_p u_r) arepsilon_{jk} (ar{q}^k_s d_t)$	$Q_{qqu}$	$\varepsilon^{\alpha\beta\gamma}\varepsilon_{jk}\left[(q_p^{\alpha j})^T C q_r^{\beta k}\right]\left[(u_s^{\gamma})^T C e_t\right]$			
$Q_{quqd}^{(8)}$	$(\bar{q}_p^j T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t)$	$Q_{qqq}$	$\varepsilon^{\alpha\beta\gamma}\varepsilon_{jk}\varepsilon_{mn}\left[(q_p^{\alpha j})^TCq_r^{\beta k}\right]\left[(q_s^{\gamma m})^TCl_t^n\right]$			
$Q_{lequ}^{(1)}$	$(ar{l}_p^j e_r) arepsilon_{jk} (ar{q}_s^k u_t)$	$Q_{duu}$	$arepsilon^{oldsymbollphaeta\gamma}\left[(d_p^lpha)^T C u_r^eta ight]\left[(u_s^\gamma)^T C e_t ight]$			
$Q_{leav}^{(3)}$	$(\bar{l}_{r}^{j}\sigma_{\mu\nu}e_{r})\varepsilon_{ik}(\bar{q}_{s}^{k}\sigma^{\mu\nu}u_{t})$					



go down to O(100) imposing flavor symmetries, CP Faroughy et al 2005.05366 Greljo et al 2203.09561

IB 2012.11343

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## A fast growing series

# parameters computed with Hilbert series and automated. flavor plays a major role.

Henning, Lu, Melia, Murayama 1512.03433



### bases available up to dimension 12

- d = 5 Weinberg PRL43(1979)1566
- $\mathbf{d} = \mathbf{6}$  Grzadkowski et al 1008.4884 ...
- **d** = 7 Lehman 1410.4193, Henning et al 1512.0343
- **d** = 8 Li et al 2005.00008, Murphy 2005.00059
- $\mathbf{d}$  =  $\mathbf{9}$  Li et al 2007.07899, Liao,Ma 2007.08125
- d = 10,11,12 Harlander,Kempksens,Schaaf 2305.06832

In SMEFT, operators of odd dimension violate the conservation of B and/or L  $_{Kobach 1604.05726}$ 



### new physics possibly heavy

new physics possibly heavy



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new physics possibly heavy

statistics will increase ×10 in next ~20 yrs % precision achievable (at least in some channels)

explored mass range won't move much
clueless about BSM scale and models
next colliders very far in the future



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makes sense to aim for

- agnostic setup, covering many BSM scenarios, reinterpretable
- solid QFT framework, general, improvable
- extract as much info as possible

### The SMEFT program at the LHC



### The bigger picture – a blooming research field!



### SMEFT analyses of LHC data: state of the art

A vast number of LHC processes has been studied in SMEFT at dim-6:

Higgs all main production (STXS) and decay channels

EW diboson, triboson, VBS in several final states

**Top**  $\bar{t}t$ ,  $\bar{t}tV$ , tW, tZj,  $\bar{t}t\bar{t}t$ ,  $\bar{t}t\bar{b}b$ , top decays...

others multi-jet, Drell-Yan, flavor observables, LEP EWPO, LEP-II diboson ...

௺ Typically predicted at tree-level or to 1-loop QCD in SMEFT, only a few at 1-loop EW most used MC: MG5 with SMEFTsim (tree-level IB+ '17,'20 ) or SMEFT@NLO (1-loop QCD Degrande+ '20)

 ${\mathfrak O}$  state-of-the-art global fits can handle  ${\mathcal O}(50)$  parameters simultaneously

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### Global analyses by theory groups

example: EWPO + Higgs + EW + top combination by SMEFiT Celada et al 2404.12809 see also: fitmaker 1803.03252, 2012.02779 Sfitter 1812.07587, 1910.03606, 2312.12502... Éboli+ 1812.01009 ,2108.04828...



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### Global analyses by theory groups

Celada et al 2404.12809

Linear fit



Correlation: NLO  $O(\Lambda^{-2})$ 

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## Global analyses by theory groups

Celada et al 2404.12809





Correlation: NLO  $O(\Lambda^{-4})$ 

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### Global analyses by LHC experiments

CMS EWPO + Higgs + EW + top + multi-jet combination CMS SMP-24-003



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### Global analyses by LHC experiments

ATLAS Higgs combination ATLAS 2402.05742



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### The Higgs Effective Field Theory – HEFT

rather than H doublet: singlet h + Goldstones **U**  Feruglio 9301281, Grinstein,Trott 0704.1505, Buchalla,Catà 1203.6510, Alonso et al 1212.3305, IB et al 1311.1823,1604.06801, Buchalla et al 1307.5017,1511.00988...

$$H \mapsto rac{v+h}{\sqrt{2}} \mathbf{U} \begin{pmatrix} 0\\ 1 \end{pmatrix}, \qquad \mathbf{U} = \exp\left(rac{iec{\sigma} \cdot ec{\pi}}{v}
ight)$$

SMEFT expands around EW-symmetric point, HEFT expands around EW vacuum

Alonso, Jenkins, Manohar 1511.00724, 1605.03602



### Main HEFT features

- ▶ more general than SMEFT, because implements weaker symmetry requirement
  - $\rightarrow$   $\exists$  UV scenarios that can be matched to HEFT but <u>not</u> SMEFT Cohen et al 2008.0597, Banta et al 2110.02967

### $\textbf{HEFT} \ \supset \ \textbf{SMEFT} \ \supset \ \textbf{SM}$

▶ in general more convergent than SMEFT: takes fewer orders to reproduce well UV model  $\rightarrow \mathcal{F}(h)$  resums series in  $(H^{\dagger}H)^n \sim \text{geoSMEFT: Helset, Martin, Trott 2001.01453}$ 

 $\rightarrow$  classic example: composite Higgs for largish  $\xi$ e.g. SO(5)/SO(4)1.5 $\mathcal{F}(h) = \frac{4}{\varepsilon} \sin^2 \frac{\varphi}{2f}$  $\delta c_3$  1  $= 1 + \sqrt{4 - \xi} \frac{h}{v} + \left(1 - \frac{\xi}{2}\right) \frac{h^2}{v^2} - \frac{\xi \sqrt{4 - \xi}}{6} \frac{h^3}{v^3} + \dots$ 0.50.510 10 8 8  $\xi = \frac{v^2}{c^2}$ kmax  $k_{max}$ see eg. Alonso et al 1409.1589

ohen, (

 $\otimes$  spectacularly large deviations in Higgs couplings to V, f mostly excluded. bounds at  $\sim 10\%$ 



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- Higgs self-couplings leave more freedom
- $\textcircled{\sc opt}$  some models that cannot match onto SMEFT (loryons) are still allowed, despite requiring  $\Lambda \lesssim 3\,{\rm TeV}$  for unitarity arguments
- in models that *can* match onto SMEFT, but for which SMEFT is **poorly convergent**, HEFT should be at least as relevant as dim-8 corrections



## **HEFT** power counting

IB, Gröber, Schmid WIP

one of the main complications in working with HEFT: organization of the EFT expansion

Gavela, Jenkins, Manohar, Merlo 1601.07551 Buchalla, Catà, (Celis), Krause 1312.5624, 1603.03062

from analysis of mass and  $\hbar$  dimensions:

$$\sigma \sim \frac{(4\pi)^3}{p^2} \left(\frac{p}{\Lambda}\right)^{\alpha_{\Lambda}^p} \left(\frac{4\pi v}{\Lambda}\right)^{\alpha_{\Lambda}^g} \left(\frac{g}{4\pi}\right)^{\alpha_{\chi}^g} = \frac{(4\pi)^3}{p^2} \left(\frac{p}{\Lambda}\right)^{2(N-2)+\alpha_{\chi}^p} \left(\frac{4\pi v}{\Lambda}\right)^{\alpha_{\Lambda}^g} \left(\frac{g}{4\pi}\right)^{\alpha_{\chi}^g}$$

 $N=\# ext{ ext legs}, \quad lpha_{\chi}^{(p,g)} ext{ counts chiral dimensions: } d_{\chi}=-(d_{4\pi}+d_{\Lambda})$ 

**SMEFT** expands in  $\alpha_{\Lambda}^{p} + \alpha_{\Lambda}^{g} \sim d_{\Lambda}$  "orthogonal" to loop expansion

**HEFT?** non-linear fields  $\Rightarrow$  no expansion in v $\alpha_{\chi}^{g}, \alpha_{\chi}^{p}$  non homogeneous by operator,  $p/\Lambda$  powers depend on N... $\rightarrow$  correpondence between  $\mathscr{L}$  and  $\sigma$  expansions? interplay with perturbative expansion?

in the end we expect  $n_{SMEFT-6} \leq n_{HEFT-NLO} \leq n_{SMEFT-8} \rightarrow \text{alternative to dim-8 to stress-test dim-6?}$ 

### SMEFT/HEFT geometrical interpretation

the comparison between SMEFT and HEFT can be obscured by the **field redefinition** relating them, which must be unphysical! geometry was introduced in this context to obviate this problem

let us consider only the 4 scalar fields : they can be seen as coordinates on 4D manifold



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SMEFT  $\sim$  cartesian coord.

Alonso, Jenkins, Manohar 1511.00724, 1605.03602

HEFT  $\sim$  **polar** coord.

- ▶ field redefinition ↔ change of coordinates
- physics can be associated to geometry of the field space, independent of coordinates

### Geometrical interpretation: achievements and limitations

- **model-independent amplitudes**: can be evaluated for different theories w/o recomputing diagrams
- ▶ applications to RGEs and matching Jenkins, Manohar, Naterop, Pagés 2308.06315, 2310.19883, Li,Lu, Zhang 2411.04173
- ▶ applications with gauge fields and fermions Helset+ 2212.03253, 2210.08000, 2307.03187, Pilaftsis+ 2006.05831, 2307.01126
- ▶ allow a characterization of theories that cannot be matched onto SMEFT Cohen, Craig, Lu, Sutherland 2008.08597



main limitations [scalars-only]

- ▶ invariance fails for **field redefinitions involving derivatives**, eg  $\phi \rightarrow \phi(1 + \Box \phi)$ → only works for "fixed basis"
- only describes operators with exactly **2 derivatives**: geometry from metric  $\mathscr{L} \supset \frac{1}{2}g_{ij}(\phi) \partial_{\mu}\phi^{i}\partial^{\mu}\phi^{j}$

addressed in Cohen+ 2202.06965,2307.15742,2410.21378 Craig+ 2312.06748,2305.09722

### Towards a geometrical description for arbitrary scalar EFTs

Alminawi,IB,Davighi 2308.00017

developing a formalism that keeps into account the fact that fields depend on spacetime  $\rightarrow$  account for  $\phi(x)$ ,  $\partial_{\mu}\phi_i(x)$ ,  $\partial_{\mu}\partial_{\nu}\phi_i(x)$ ...

- rightarrow proved that a *complete* basis of scalar operators with **up to** 2n derivatives can be obtained from the metric of a (n-1)-jet bundle
  - $\rightarrow$  gives geometric interpretation of higher- $\partial$  operators but also to scalar potential !

$$\mathscr{L} \supseteq -\frac{1}{2} \eta^{\alpha\beta} \begin{pmatrix} \partial_{\alpha} x^{\mu} & \partial_{\alpha} \phi^{i} & \partial_{\alpha} \partial_{\rho} \phi^{i} \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix} \begin{pmatrix} g_{\mu\nu} & g_{\mu j} & g_{\nu j}^{\sigma} & \cdots \\ g_{\nu i} & g_{i j} & g_{i j}^{\sigma} & g_{i j}^{\sigma} & \cdots \\ g_{\nu i} & g_{i j}^{\rho} & g_{i j}^{\rho\sigma} & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix} \begin{pmatrix} \partial_{\beta} x^{\nu} \\ \partial_{\beta} \phi^{j} \\ \partial_{\beta} \partial_{\sigma} \phi^{j} \\ \vdots \end{pmatrix}$$

### Example: $2 \rightarrow 2$ on-shell, tree-level amplitude (0 and 2 $\partial$ )

$$\begin{aligned} \overline{R_{i\mu j}^{\mu}} &= \eta^{\mu\nu} \partial_i \partial_j g_{\mu\nu} \big|_{\phi=0} = -2 \partial_i \partial_j V(\phi) \big|_{\phi=0} = -2M_{ij}^2 \\ \Delta^{ij}(p^2) &= i \left[ \overline{g_{ij}} p^2 - \overline{R_{i\mu j}^{\mu}} / 2 \right]^{-1} \end{aligned}$$

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- the EFT program at LHC has already reached many milestones!
- strong interplay with precision calculations: requires few-10 % sensitivity
- main open challenges related to
  - improve EFT predictions and statistical treatment
  - incorporate flavor constraints into Higgs/EW/Top analyses
  - address potential EFT validity / convergence concerns
- turning to HEFT and geometrical methods as ways to
  - ▶ investigate the structure of the EWSB sector at a more fundamental level
  - identify and test BSM scenarios that do not match onto SMEFT
  - understand the structure of scattering amplitudes, obviating ambiguities from FR



www.cost.eu/actions/CA22130/

foswiki.web.cern.ch/COMETA/

△ a COST Action focusing on Multiboson processes @LHC

警 currently 258 members in 35 countries covering TH + ATLAS/CMS + ML



#### what we do

### € support conference attendance and scientific exchanges

- topical meetings and training schools
- ✤ online activities

#### 2025 events

Polarized Perspectives: Tagging and Learning in the SM – Vienna (Austria), February 20 - 21 COMETA Workshop on EFT in HH and VBS – Paris Saclay (France), March 17 - 19 Positivity, Amplitudes and Phenomenology – CERN, April 7 - 11 2nd General Meeting – Krakow (Poland), April 28 - 30 COMETA Summer school on Machine Learning – Ljubljana (Slovenia), June 26 - July 3 Uncertainty quantification in Al: Physics and Mathematics Perspectives – Saclay (France), September

the sign up here!

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