**DR. JULIEN BOBINEAU** 





# Implicit BIAS

A basic introduction & Workshop





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PhD in African Studies, University of Würzburg (BayEFG)
Postdoc, Universites of Würzburg & Jena, with fellowships in Dakar, Edmonton, Salamanca & Caen
Habilitation, University of Jena, working title: "Racial profiling between political attitudes and police practice"



## The **SOALS** of the study

- understanding how decisions are made and how implicit biases affect the police
- development of a training model that takes into account the special circumstances of police work (shift work, high stress, experience of violence etc.)





## TODAY'S GOALS

- Understand how implicit biases shape evaluation, hiring, and mentoring in STEM
- Practice tools to reduce bias under pressure and in everyday decisionmaking
- Commit to concrete actions that foster equity and inclusion in research and teaching



# CHECK-IN

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Who are you?
What is you field of expertise?
How do you relax in your free time?

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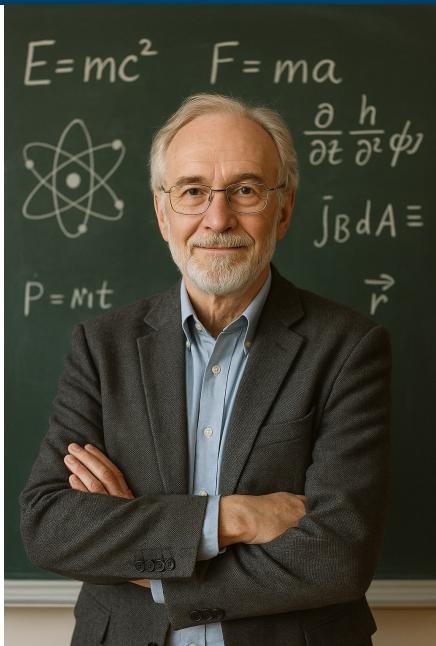
What is Implicit Bias?



# The PH1SICS professor

- What images come to mind?
- What images are associated with you in your environment?









## **Terminology**

#### **Stereotype**

Incomplete knowledge about perceived social group

-> Development through social categorization, through the formation of in- and out-groups

#### Prejudice

Emotionally charged stereotypes

-> Positive or negative attitudes towards (members of) groups

#### Unconscious/ cognitive bias

Prejudices that help us to react quickly to situations in everyday life

-> Incorrect and rigid generalization (positive and negative)



## IMPLICIT bigses

X Daniel Kahneman: System 1 and System 2

Thinking errors based on "experience"

Development of biases due to lack of questioning



# DANIEL KAHNEMAN: System 1 and System 2

X System 1

fast

automatic

unreflected

unconscious

Little to no effort

X System 2

slow

elaborate

reflected

conscious

effort



#### IMPLICIT bigses

#### HALO-EFFECT

One particular trait shapes overall impression

🗶 e.g.: unpopular dialect

#### **AFFINITY BIAS**

Preference for people that are similar to me

× e.g.: sharing a hobby

#### **CONFIRMATION BIAS**

Higher awareness of information that support existing beliefs

× e.g.: with news "I knew it"

#### PRIMACY EFFECT

The first impression is easier to remember

× e.g.: firm handshake

#### INGROUP | OUTGROUP BIAS

Greater appreciation for the own "group"

× e.g.: sports team



# FRAMING









## The real effects of IMPLICIT biases



#### AÉROPORT DE ROISSY : ARRESTATION D'UN PROFESSEUR DE DROIT À L'UCAD







#### **Deutsche Aufenthaltstitel**

# Studierende aus Afrika scheitern oft bei der Visavergabe

Bei afrikanischen Interessenten lehnen deutsche Behörden Anträge auf Studierenden-Visa oft ab. Die Linke spricht von einem »Generalverdacht« gegenüber Menschen aus dem Globalen Süden.

22.12.2023, 13.17 Uhr • aus DER SPIEGEL 52/2023



## IMPLICIT bigses



## Why are biases particularly effective in science in...

- grading & PhD mentorship?
- professorship appointment procedures (,Berufungsverfahren')?
- other hiring processes?
- publication processes (if not blind/double blind)?
- research in general?
- third-party funding allocation?



## CASE / - Oral Examination



A female Master student is taking an oral physics exam. During her answers, she hesitates slightly, and the professors comment that she seems insecure. Later, a male student hesitates in a similar way, but the professors interpret it as a sign of "deep reflection" and "thoughtfulness."

Where could bias play a role in the interpretation of performance? How might gender stereotypes influence the evaluation?



## STUDY / - The Mathilda effect (Rossiter, 1993)



**Definition:** Systematic bias where women scientists' contributions are ignored, denied credit, or attributed to men

Contrast to Matthew Effect: While the Matthew Effect highlights the over-recognition of famous scientists, the Matilda Effect emphasizes the under-recognition of those (especially women) with less privileges



## STUDY / - The Mathilda effect (Rossiter, 1993)





Rosalind Franklin (1920-1958)



Lise Meitner (1878-1968)



Jocelyn Bell Burnell (\*1943)



# STUDY 2 - Double Jeopardy (Williams et al. 2014)



**Study design:** Survey of 557 women scientists (STEM fields) across race/ethnicity

- Prove-It-Again bias: Women of color reported having to prove competence more often than white women
- Tightrope bias: Women of color were more frequently seen as "too aggressive" when they behaved assertively, but penalized if too passive



## CASE 2 - Reviewing a manuscript



Two manuscripts are submitted to a prestigious physics journal. One comes from a well-known university in the U.S., the other from a less internationally recognized institution in Eastern Europe. Even though the content quality is similar, reviewers describe the first paper as "innovative and rigorous," while the second is described as "unclear and in need of major revisions."

How could institutional prestige or geographic origin bias the review process?



# STUDY 3 - The Matthew effect in funding (Bol et al., 2018)



**Study design:** Regression discontinuity on Dutch early-career grant program ("Veni") with approx. €2 billion funding, tracking careers over 8+ years

- Winners just above the threshold obtained >2x as much funding in the following 8 years as near-identical nonwinners
- Effect not explained by better scientific output (no jump in publications, citations, or H-index after funding)



# STUDY 3 - The Matthew effect in funding (Bol et al., 2018)



**Study design:** Regression discontinuity on Dutch early-career grant program ("Veni") with approx. €2 billion funding, tracking careers over 8+ years

- Participation effect: Nonwinners applied less often for future grants, while winners stayed in the competition
- early winners had a 47% higher chance of becoming full professors 10–16 years later



## CASE 3 - Mentoring PhD students



A professor is supervising several PhD students. The professor often gives more guidance and encouragement to students who remind him of his younger self — in this case, male students with similar academic interests. Female and international students receive less feedback and fewer invitations to collaborate on publications.

What implicit biases might shape mentoring relationships? How can unequal support affect academic careers?

## STUDY 4 - Gender Bias (Moss-Racusin et al., 2012)



**Study design**: randomized, double-blind experiment with 127 biology, chemistry, and physics faculty identical lab manager applications (male vs. female name) in the U.S.

- Male applicants rated as more competent and more hireable than identical female applicants
- → Male applicants offered a higher salary (\$30,200 vs. \$26,500)
- Male applicants received more career mentoring offers



## EXERCISE - Decision under pressure



- We will form two groups.
- Here is the scenario: You need to fill a postdoctoral position and have 3 promising applications.
- Now you have to decide on one of the three candidates and find a consensus in your group.
- --> And have only 6 minutes to do this...

06:00



## **EXERCISE** - Decision under pressure

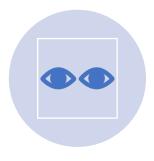




What information stood out most to you when making the decision?



Which aspects were ignored or overlooked?



Did prestige, gender, or language influence your judgment unconsciously?



Would you decide differently if you had more time or clearer criteria?

# STUDY 5 - Hiring as Cultural Matching (Rivera 2012)



**Study design:** 120 interviews + participant observation in elite law, banking, and consulting institutions

- Core finding: Hiring is not only about skills, but strongly about cultural matching between candidates, evaluators, institutions
- Cultural fit as top criteria: Over half of evaluators ranked "fit" above analytical or communication skills in job interviews
- Institutions achieved surface-level diversity (gender, race), but deep-level cultural homogeneity

# STUDY 6 - The Motherhood Penalty (Correll et al., 2007)



**Definition:** Mothers judged less competent & committed than equally qualified non-mothers

- required higher test scores
- → offered ~7-8% lower starting salaries
- -> rated as less promotable & less likely to be hired (47% vs. 84%)
- fathers not penalized in some cases even advantaged (higher commitment ratings, salary premium)
- audit study of real employers: Mothers received half as many callbacks as equally qualified non-mothers

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Solution strategies

## IMPLICIT bigses

Why are biases particularly effective in science in...

- grading & PhD mentorship?
- professorship appointment procedures (,Berufungsverfahren')?
- other hiring processes?
- publication processes (if not blind/double blind)?
- research in general?
- third-party funding allocation?



## **EXERCISE** - Solution strategies



- Work in pairs, choose one of the previous areas and discuss together:
  - What could be done on an **individual level** (e.g., awareness, reflection, daily practices)?
  - What could be done on a structural level (e.g., standardized procedures, transparency, institutional policies)?



## IMPLICIT bigses

Why are biases particularly effective in science in...

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## INDIVIDUAL - 1. Train Awareness

- Checklists: e.g., before making a decision, go through the following: Have I assessed all candidates according to the same criteria?
   Am I influenced by prestige, language, or appearance?
- Reflection Questions: Would I make the same assessment if the person had a different gender, name, or accent?





#### INDIVIDUAL - 2. Slow down decisions

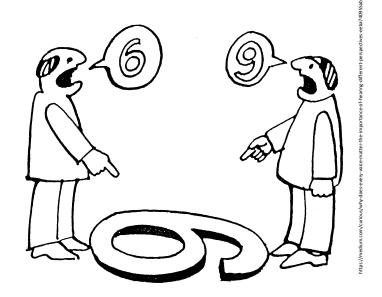
- avoid "fast thinking" (Kahneman)
- consciously plan breaks so that you don't make decisions based solely on 'good feeling'
- example: When making appointments or writing expert opinions, take a second look after some time has passed





## INDIVIDUAL - 3. Practice Perspective-Taking

- put yourself in the shoes of students, applicants, or colleagues from different backgrounds
- short exercises: How might this situation appear from the perspective of an international doctoral student or a female colleague?





## STRUCTURAL - 1. Transparent Criteria



- clear, predefined evaluation criteria for examinations, appointments, and funding decisions
- publishing and communicating these criteria creates fairness

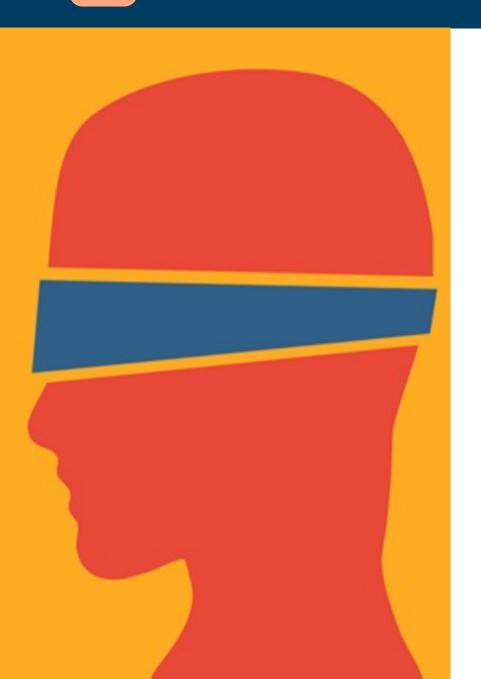


#### STRUCTURAL - 2. Standardized Feedback



- standardized forms for exams, applications, or evaluations to reduce subjective variations
- prevents women/PoC from receiving different labels (e.g., "diligent" vs. "brilliant")





#### STRUCTURAL - 3. Blind review

- (where feasible)
- anonymized reviews, applications, or manuscript evaluations
- reduces bias based on name, gender, or institution of origin



# What is today's biggest TAKE-AWAY for me?

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## Introduction

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DIVERSITÄT

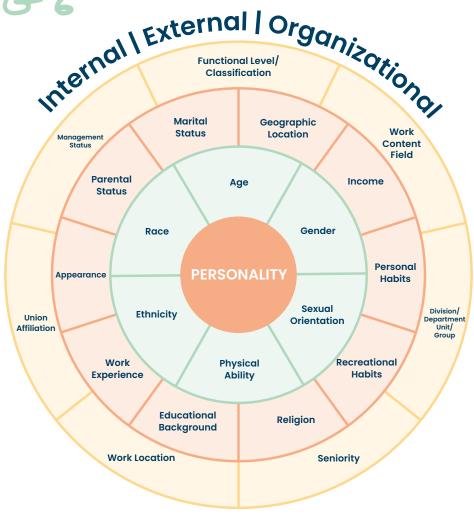


#### What is the first thing you notice?



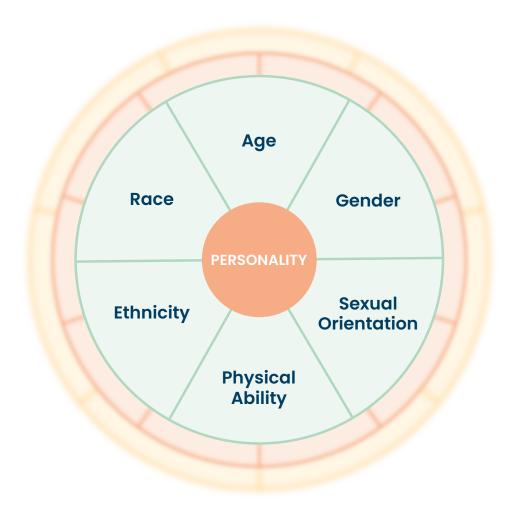
The Four Layers of Diversity and the "BIG"

- Internal dimensions = unchangeable
- The "Big 6" (internal dimensions + religion) are protected by law
- External dimensions are considered to be changeable





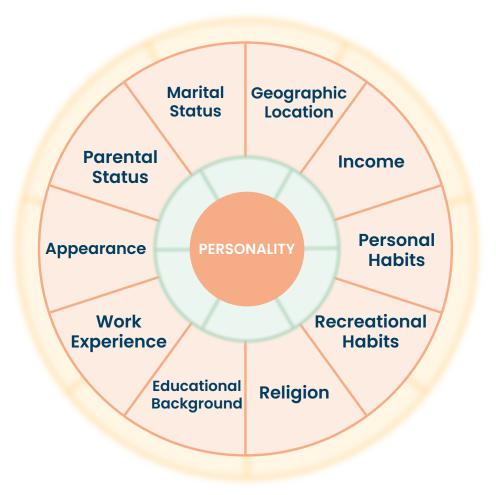
#### The Four Layers of Diversity and the "BIG"



Internal dimensions

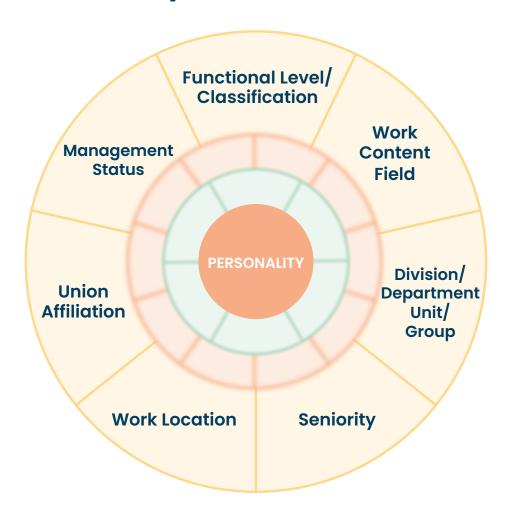


#### The Four Layers of Diversity and the "BIG-6"



**External** dimensions

#### The Four Layers of Diversity and the "BIG"



Organizational dimensions

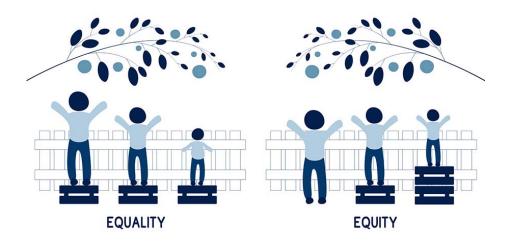
#### Why do we need DIVERSITY in Academia?

- Diversity in society is a fact. Universities need to be representative.
- Diversity in the University: Who gets a chance? Who can succeed? Who makes decision and who shapes the curriculum?
- Diversity in Research: What and who is researched? How is the research framed? Where is research advanced?
- "Greater diversity in terms of both gender and ethnicity, is correlated with significantly greater likelihood of outperformance". (McKinsey & Company 2020: 47)

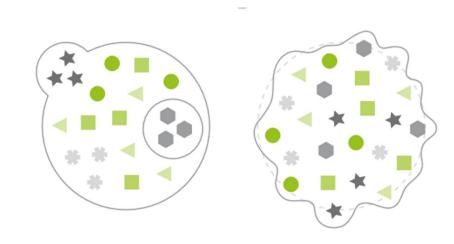


#### **Equity & Inclusion**

Equality vs. Equity



Integration vs. Inclusion



Sources: STEP, 2024 (left); Altgeld/Latteck, 2021: Inklusion und Gesundheitsförderung (right)